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Merged Tree-CAT: A fast method for building precise Computerized Adaptive Tests based on Decision Trees

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Abstract

Over the last few years, there has been an increasing interest in the creation of Computerized Adaptive Tests (CATs) based on Decision Trees (DTs). Among the available methods, the Tree-CAT method has been able to demonstrate a mathematical equivalence between both techniques. However, this method has the inconvenience of requiring a high performance cluster while taking a few days to perform its computations. This article presents the Merged Tree-CAT method, which extends the Tree-CAT technique, to create CATs based on DTs in just a few seconds in a personal computer. In order to do so, the Merged Tree-CAT method controls the growth of the tree by merging those branches in which both the distribution and the estimation of the latent level are similar. The performed experiments show that the proposed method obtains estimations of the latent level which are comparable to the obtained by the state-of-the-art techniques, while drastically reducing the computational time.

Keywords

Computerized Adaptive Tests, Decision Trees, Linear Programming

1 Introduction

Computerized Adaptive Tests (CATs) have been a significant advance in the field of psychometrics by being able to estimate the examinee’s latent level θ (e.g., reading comprehension, IQ, and so forth) with greater precision than the classical linear tests using a smaller number of items (Weiss, 2004). This is due to the fact that the examinee receives a personalized test. Moreover, this customization of the test, together with the possibility of incorporating various mechanisms that control for the exposure rate of each item, hinders their leakage among the participants.

Concisely, CATs estimate the latent level of the examinee each time he/she responds to an item. This is conducted by means of a probabilistic model that uses the responses given to the previously administered items, and the characteristics of those. This estimation is then used to select the next item to be administered, among those that satisfy the established exposure condition, by using an optimization criterion. As an example, some of the proposed criteria are Minimum Expected Posterior Variance (MEPV) (Van der Linden and Pashley, 2009), Maximum Fisher Information (MFI) (Lord, 2012; Weiss, 1982), Kullback-Leibler Information (KLI) (Chang and Ying, 1996) and Maximum Likelihood Weighted Information (MLWI) (Veerkamp and Berger, 1997). Unfortunately, as stated in the literature (Ueno and Songmuang, 2010), the high computational time required by some of these criteria when selecting the next item implies an excessive waiting time for participants. This makes it difficult to use CATs that apply these criteria in practical settings.

Over the past few years, several articles have proposed decision trees (DTs) as an alternative to CATs. The fact that DTs build the test before its administration solves the aforementioned computational issue. Among those contributions, Ueno and Songmuang (2010) proposed a DT that obtains more precise scores than the estimates of the CATs using a smaller number of items per individual. Michel et al. (2018) used this proposal to estimate the quality of life of patients with multiple sclerosis, obtaining a DT with a smaller bias in the selection of items. Also on these lines, Yan et al. (2004) proposed a regression tree to estimate the test subjects’ scores. The novelty of this work lies in the proposal to merge those nodes that meet a similarity criterion. This criterion, based on a t -statistic, enables to have a sufficient number of observations in each node. However, the main problem of the former proposals is that they estimate test dependent scores rather than latent levels. This fact prevents the comparison of estimates between different tests, which is one of the fundamental characteristics of CATs.

Recently, Delgado-Gómez et al. (2019) mathematically showed an equivalence between CATs and DTs by proposing the Tree-CAT method. This method integrates the advantages of both techniques: A precise estimation of the actual latent level of the examinee plus the construction of the test before its administration. In their proposal, each branch has an associated density function characterizing the distribution of the latent variable of the examinees progressing through that branch. This density function is used to assign an item to

46 each node by sequentially solving linear optimization problems. The main dis-
47 advantage of this method is that the construction of the tree requires a high
48 performance cluster due to its growth, taking about a week to create a test with
49 only 10 items per examinee.

50 In the current article, we propose the Merged Tree-CAT method, which
51 builds upon the previous method so that a tree of any depth can be created
52 on a personal computer in a few minutes. To that end, the growth of the tree
53 is controlled by merging branches with similar distributions and estimates of
54 the associated latent level. This idea was already conceptualized by Yan et al.
55 (2004), although in the present article the objective and merging criterion are
56 different, as we are working with distributions instead of samples.

57 The rest of the article is structured as follows. Section 2 describes the
58 proposed Merged Tree-CAT method. Section 3 shows the results obtained in two
59 experiments aimed at evaluating the proposed method. In those experiments,
60 the performance of the Merged Tree-CAT method is compared to the original
61 Tree-CAT method and with respect other three CAT techniques widely used in
62 the literature. Finally, Section 4 concludes the article with a discussion on the
63 benefits of the proposed method.

64 **2 Merged Tree-CAT method**

65 Before starting to describe the Merged Tree-CAT method we introduce the no-
66 tation that will be used.

67

M :	Depth of the tree (number of tree levels).
m :	Tree level.
Z_m :	Number of nodes in level m .
N :	Number of items in the item bank.
i :	Item from the item bank.
K_i :	Number of responses to item i
k_i :	Response given by the examinee to item i ($k_i = 1, \dots, K_i$).
r_i :	Maximum exposure rate of item i .
c_i^m :	Capacity of item i after the creation of the level $m - 1$. For the first level, $c_i^1 = r_i, i = 1, \dots, N$.
E_i :	Fitness index of item i .
θ :	Latent level of the examinee.
$f(\theta)$:	Prior density function of the latent level.
$\hat{\theta}_n^{k_i}$:	Estimation of the latent level θ given the response k_i in the node n .
$f_n^{k_i}(\theta)$:	Posterior density function of the latent level θ given the response k_i in the node n .
$P_i(\theta, k_i)$:	Probability that the examinee with a latent level θ gives the response k_i to item i .
$P_i(k_i)$:	Probability that the examinee gives the response k_i to item i .
α_i :	Probability that the first item administered to the examinee is the item i .
K^* :	Maximum number of branches per level.
δ :	Minimum similarity between distributions of two branches to merge.
L_1 :	Lower limit of an interval containing the latent level θ with probability p .
L_2 :	Upper limit of an interval containing the latent level θ with probability p .
$D_n^{k_i}$:	Probability that an examinee is in the node n and gives the response k_i to item i .
$A_n^{k_i}$:	Set of items answered by those examinees that in the node n gave the response k_i to item i .

69 2.1 Tree structure

70 The Merged Tree-CAT method generates a tree with as many M levels as the
71 maximum number of items to be administered to each examinee. Each m level
72 ($m = 1, \dots, M$) is composed of Z_m nodes, with each node having the structure
73 shown in Figure 1.

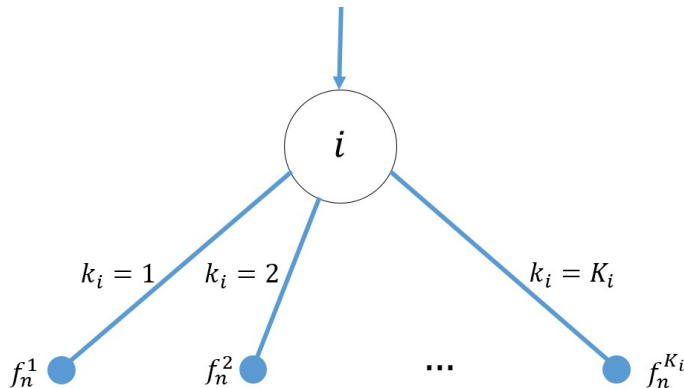


Figure 1: Node structure.

74 This figure shows how a node n of the tree is composed by an item i and
75 a set of K_i branches corresponding to each of the possible k_i responses to the
76 item ($k_i = 1, \dots, K_i$). Each one of these branches has associated the posterior
77 density function $f_n^{k_i}$ of the latent level from the set of participants that have
78 reached this node and have chosen the response k_i . In addition, the node also
79 implicitly contains the estimation of the latent level $\hat{\theta}_n^{k_i}$ of these participants,
80 given by (Bock and Mislevy, 1982):

$$\hat{\theta}_n^{k_i} = \int_{-\infty}^{\infty} \theta f_n^{k_i}(\theta) d\theta. \quad (1)$$

81 The top line in Figure 1 represents the linkage of this node with another
82 previous node in the tree. For the first level $m = 1$, this line joins the root of
83 the tree which contains only the prior density function $f(\theta)$ of the population.

84 2.2 Building of the first level ($m = 1$)

85 The Merged Tree-CAT method initiates the building of the tree by determining
86 the item with which each examinee begins the test. To do so, firstly, the E_i
87 fitness index is calculated for each of the N items from the item bank. This index
88 represents how far the item is from the optimum according to an established
89 criterion. As an example from the criterion MEPV, which will be used later for
90 experiments, E_i is given by (Delgado-Gómez et al., 2019):

$$E_i = \int_{-\infty}^{\infty} \left(\sum_{k_i=1}^{K_i} (\theta - \hat{\theta}_n^{k_i})^2 P_i(\theta, k_i) \right) f(\theta) d\theta, \quad (2)$$

91 where $\hat{\theta}_n^{k_i}$ is the estimation of the latent level when the examinee gives the k -th
92 response to item i .

93 Once the E_i fitness indexes, $i = 1, \dots, N$ have been calculated for each
 94 item, the assignation of items is carried out by solving the following linear
 95 programming problem:

$$\min \sum_{i=1}^N \alpha_i E_i \quad (3)$$

s.t.

$$\sum_{i=1}^N \alpha_i = 1 \quad (4)$$

$$\alpha_i \leq r_i \quad i = 1, \dots, N \quad (5)$$

$$\alpha_i \geq 0 \quad i = 1, \dots, N \quad (6)$$

96 where α_i is the probability that the examinee will receive item i to start the test
 97 and r_i represents the maximum allowed exposure rate. Please note that when
 98 no exposure rate control is applied ($r_i = 1, i = 1, \dots, N$), the Merged Tree-CAT
 99 method creates a single node containing the item with the highest fitness index.
 100 On the other hand, when $r_i < 1, i = 1, \dots, N$, the method creates multiple
 101 nodes. Concisely, each of these nodes would contain one of the items for which
 102 $\alpha_{i^z} > 0$, where $\alpha_{i^z} = \min \{r_{i^z}, 1 - \sum_{s=1}^{z-1} \alpha_{i^s}\}$ and i^z is the z -th item with higher
 103 fitness index, $z = 1, \dots, N$. The examinee would start the test randomly among
 104 these nodes according to these probabilities α_{i^z} . Therefore, in this case, it can
 105 be understood that the method simultaneously generates a forest of trees.

106 Once the items have been assigned to the nodes $n = 1, \dots, Z_1$ of the first
 107 level, the posterior density functions $f_n^{k_i}$ of the latent level can be calculated,
 108 that according to Bayes' theorem, are given by:

$$f_n^{k_i}(\theta) = f(\theta|k_i) = \frac{P_i(\theta, k_i)f(\theta)}{\int_{-\infty}^{\infty} P_i(\theta, k_i)f(\theta)d\theta}. \quad (7)$$

109 Next, the Merged Tree-CAT method analyzes the possibility of merging
 110 branches between the different nodes of the first level according to a conditional
 111 criterion based on the similarity of estimates and distributions. This criterion
 112 seeks to limit the growth of the tree so that it is computationally tractable and
 113 the construction time of the tree is reduced.

114 For this purpose, the criterion determines whether the number of branches
 115 of the nodes at this level exceeds the maximum number K^* of branches per
 116 level. That is, if,

$$\sum_{n=1}^{Z_1} K_{i_n} > K^*, \quad (8)$$

117 where i_n is the item assigned to the node n . When this condition is met, two
 118 branches with associated estimations $\hat{\theta}_u^{k_s}$ and $\hat{\theta}_v^{k_t}$, are merged if

$$\left| \hat{\theta}_u^{k_s} - \hat{\theta}_v^{k_t} \right| < \frac{L_2 - L_1}{K^*}, \quad (9)$$

119 where L_1 and L_2 are the limits of the interval containing the prior latent level
 120 of the examinee with a probability p :

$$\int_{-\infty}^{L_1} f(\theta)d\theta = \int_{L_2}^{\infty} f(\theta)d\theta = \frac{1-p}{2}. \quad (10)$$

121 On the other hand, when condition (8) is not met, the criterion merges two
 122 branches if condition (9) and the following intersection condition (Cha, 2007)
 123 are met:

$$\int_{-\infty}^{\infty} \min \{f_u^{k_s}(\theta), f_v^{k_t}(\theta)\}d\theta > \delta. \quad (11)$$

124 That is, the similarity between the distributions given by the intersection must
 125 exceed a prefixed minimum similarity δ . In this way, the growth of the
 126 tree is constrained in a probabilistic manner given K^* and δ . On one hand,
 127 increasing the number of branches per level K^* results into a higher accuracy
 128 of estimates and a greater computational cost. On the other hand, a high
 129 value of δ allows that only those branches with very similar distributions are
 130 merged, resulting in a lower number of merged branches, and therefore, into
 131 higher accuracy of estimates and a greater computational cost. The sequential
 132 application of the criteria given by (9) and (11) is due to the fact that the former
 133 is less computationally expensive and reduces the number of evaluations in (11).
 134 Therefore, two branches are merged if the criteria given by (8) and (9) or (9)
 135 and (11) are met. The merged branch will come from two different nodes and
 136 the density function $f_{u,v}^{k_s,k_t}$ will be a mixture of the density functions of the two
 137 merged branches. Being $D_u^{k_s} = \alpha_s P_s(\theta, k_s)$ the probability that the examinee
 138 will take the branch associated with the response k_s to the item s assigned to
 139 the node u , the mixture density function is given by:

$$f_{u,v}^{k_s,k_t} = \frac{D_u^{k_s}}{D_u^{k_s} + D_v^{k_t}} f_u^{k_s}(\theta) + \frac{D_v^{k_t}}{D_u^{k_s} + D_v^{k_t}} f_v^{k_t}(\theta). \quad (12)$$

140 In this case, the probability $D_{u,v}^{k_s,k_t}$ of taking this merged branch is $D_{u,v}^{k_s,k_t} =$
 141 $D_u^{k_s} + D_v^{k_t}$. Moreover, being $A_u^{k_s}$ the set of items answered by the examinees
 142 who gave the response k_s in the node u , for the merged branch we have $A_{u,v}^{k_s,k_t} =$
 143 $A_u^{k_s} \cup A_v^{k_t}$.

144 After evaluating the merger of each possible pair of branches (including
 145 already merged branches) and carrying out the corresponding ones, the first
 146 level $m = 1$ is built. Finally, the capacity of each item i that will be employed
 147 in the construction of the level $m = 2$ is calculated. This item capacity, c_i^2 ,
 148 represents the updated exposure rate of item i : $c_i^2 = r_i - \alpha_i, i = 1, \dots, N$

149 2.3 Building the m -th level

150 Assume that the test has been built up to the level $m - 1$. Ideally, the Merged
 151 Tree-CAT method will associate a single node at the end of each branch of
 152 the nodes belonging to level $m - 1$. However, due to the exposure control

153 constraints, it may be the case that several nodes are associated at the end of
 154 the same branch.

155 To build level m , the Merged Tree-CAT method initially assumes that there
 156 is a single node n at the end of each branch, and firstly calculates the fitness
 157 index G_i^n of assigning the item i to that node:

$$G_i^n = \begin{cases} \int_{-\infty}^{\infty} \left(\sum_{k_i=1}^{K_i} (\theta - \hat{\theta}_n^{k_i})^2 P_i(\theta, k_i) \right) f_u^{k_s}(\theta) d\theta, & \text{if } i \notin A_u^{k_s} \\ \infty, & \text{if } i \in A_u^{k_s} \end{cases}, \quad (13)$$

158 where $f_u^{k_s}$ and $A_u^{k_s}$ are the density function and the set of items previously used,
 159 which are linked to the branch ending in the node n . Please note that under the
 160 above definition it is impossible to administer the same item more than once to
 161 the same examinee.

162 Once that the fitness indexes G_i^n have been calculated for each item $i =$
 163 $1, \dots, N$ and node $n = 1, \dots, Z_m$, the Merged Tree-CAT method calculates
 164 the probability α_i^n that an examinee arrives at node n and receives the item i ,
 165 solving the following optimization problem:

$$\min \sum_{i=1}^N \sum_{n=1}^{Z_m} \alpha_i^n G_i^n \quad (14)$$

s.t.

$$\sum_{i=1}^N \alpha_i^n = D_u^{k_s} \quad n = 1, \dots, Z_m \quad (15)$$

$$\sum_{n=1}^{Z_m} \alpha_i^n \leq c_i^m \quad i = 1, \dots, N \quad (16)$$

$$\alpha_i^n \geq 0 \quad i = 1, \dots, N, \quad n = 1, \dots, Z_m \quad (17)$$

166 where c_i^m is the updated capacity of the item i after the creation of the level
 167 $m - 1$.

168 For a node n , each item i that satisfies $\alpha_i^n > 0$ is assigned to that node. In
 169 the case of multiple items i^1, \dots, i^W such as $\alpha_{i^1}^n, \dots, \alpha_{i^W}^n > 0$, several nodes are
 170 associated at the end of the corresponding branch. Concisely, each one of these
 171 nodes will have an item i^j associated that will be accessed with a probability
 172 $\alpha_{i^j}^n / \sum_{w=1}^W \alpha_{i^w}^n$.

173 As before, once the items have been assigned to the nodes $n = 1, \dots, Z_m$ of
 174 level m , the posterior density functions $f_n^{k_i}$ of the latent level are calculated:

$$f_n^{k_i}(\theta) = f_u^{k_s}(\theta|k_i) = \frac{P_i(\theta, k_i) f_u^{k_s}(\theta)}{\int_{-\infty}^{\infty} P_i(\theta, k_i) f_u^{k_s}(\theta) d\theta}. \quad (18)$$

175 Following, the Merged Tree-CAT method evaluates the possible merger be-
 176 tween each pair of branches of the nodes of the current level according to a

177 combined criterion of similarity of distributions and estimates as seen in the
178 previous section (equations (8)-(11)). Finally, the capacities c_i^{m+1} , the proba-
179 bilities of accessing each branch and the sets of selected items are updated.

180 **3 Experimental results**

181 In this section, the results of three experiments comparing the performance of
182 the proposed Merged Tree-CAT with respect to the original Tree-CAT method
183 are presented¹. The first two experiments recreate those conducted by Delgado-
184 Gómez et al. (2019). In addition, these experiments include three other widely
185 used techniques developed for building exposure-controlled CATs: The Re-
186 stricted method which forbids the administration of items that have exceeded
187 their exposure rate (Revuelta and Ponsoda, 1998), the Eligibility method which
188 restricts the probability of administering an item to a given exposure rate
189 (van der Linden, 2003) and the Randomesque method which randomly chooses
190 the next item to administer among the X items with higher fitness index (Kings-
191 bury and Zara, 1989). On the other hand, the last experiment shows a direct
192 comparison between the Merged Tree-CAT and the Tree-CAT method.

193 The item selection criteria taken in the five methods is the MEPV (2). This
194 criterion was selected because of its high computational cost and because it is
195 equivalent to minimizing the mean squared error (Delgado-Gómez et al., 2019).
196 In the Randomesque method, the size of the group of items with the highest
197 fitness index was fixed at $X = 6$. Lastly, in the Merged Tree-CAT method, the
198 following parameters have been taken for both experiments: $\theta \sim N(0, 1)$, $K^* =$
199 200 , $p = 0.9$, $\delta = 0.98$.

200 **3.1 Experiment 1: Simulated data**

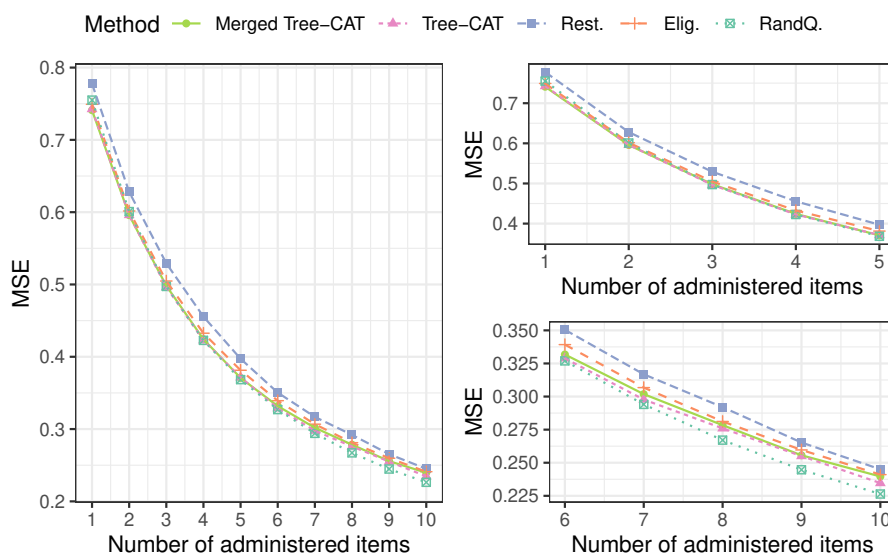
201 In this first experiment, an item bank composed of 100 items with three answers
202 each was used. The items' parameters were generated according to the Same-
203 jima's graded response model (Samejima, 1969): The discrimination parameter
204 was set to a log normal distribution with mean 0 and standard deviation 0.1225,
205 and the difficulty parameters were generated according to a standard normal
206 distribution (Magis and Raïche, 2011). Each item's exposition rate was set at
207 $r_i = 0.3$ and the number of items to administer to each participant was set at
208 $M = 10$.

209 To compare the abovementioned methods, the latent levels θ of a group
210 of 500 examinees were generated, according to a standard normal distribu-
211 tion, where their responses to each item of the bank were simulated (Magis
212 et al., 2012). Given that the Merged Tree-CAT, Tree-CAT, Eligibility and Ran-
213 domesque methods have a random component in the administration of the test,
214 this is repeated 25 times per examinee. Finally, this process is repeated 10
215 times to eliminate the dependence of the results with respect to the simulated

¹The Merged Tree-CAT method is included in the `cat.dt` package created with the software **R** available in the CRAN repository or by contacting the corresponding author.

216 responses and parameters. The left panel in Figure 2 shows the mean squared
 217 error (MSE) of the estimates obtained by each method during the administra-
 218 tion of the test. The panels on the right show this same image split into the
 219 first and last five items so that the MSE of each method is better appreciated.

Figure 2: Average MSEs for the Alternative Techniques for Simulated Data



220 It can be observed that at the end of the test, the proposed Merged Tree-
 221 CAT method obtains estimates that are as accurate as those of the Eligibility
 222 method and more precise than those of the Restricted method. However, those
 223 estimates are slightly less accurate than those of the Tree-CAT method, which
 224 does not limit tree growth. Finally, the Randomesque method shows the most
 225 accurate results. This is because this method does not satisfy the established
 226 exposure control; its overlap rate defined as the proportion of common items
 227 shared by two random examinees (Barrada et al., 2007) is 0.538, whereas for
 228 the Merged Tree-CAT, Tree-CAT, Restricted and Eligibility are 0.260, 0.283,
 229 0.268 and 0.275 respectively.

230 Table 1 shows the average time used to construct the test by the various
 231 methods. The Merged Tree-CAT and Tree-CAT methods build the test be-
 232 fore it is administered (0 s building time during administration) whereas the
 233 Restricted, Eligibility and Randomesque methods construct the test during its
 234 administration (0 s building time before administration).

235 This table shows that the Merged Tree-CAT method is the fastest of all
 236 the evaluated methods. Please note the difference with the Tree-CAT method:
 237 while the Tree-CAT method needed seven days of computation using 128 cores
 238 of a cluster with a Xeon 2630 processor and 32 GB of RAM, the Merged Tree-
 239 CAT method required only 40 seconds to create the test on a standard personal

Table 1: Test Building Times for Simulated Data

Method	Before administration	During administration
Merged Tree-CAT	≈ 40 s	0 s
Tree-CAT	≈ 7 days	0 s
Randomesque	0 s	≈ 16.8 h (120 s \times 500)
Eligibility	0 s	≈ 23.6 h (170 s \times 500)
Restricted	0 s	≈ 16.8 h (120 s \times 500)

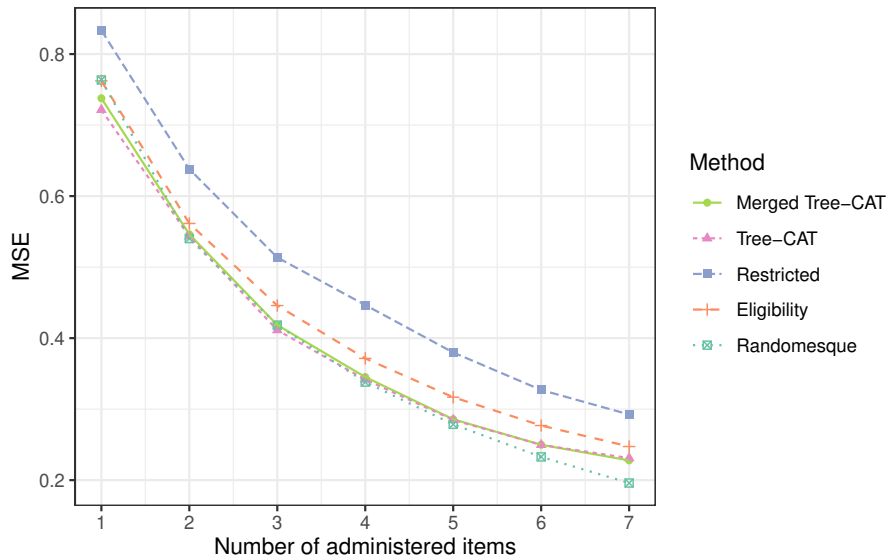
240 computer. With respect to the remaining methods, each examinee waits 12
 241 seconds on average to receive the next item when using the Restricted and Ran-
 242 domesque techniques, and 17 seconds when using the Eligibility technique. In
 243 contrast, the Merged Tree-CAT method administers the next item immediately
 244 after an examinee answers the current item.

245 3.2 Experiment 2: Real data

246 In this second experiment, the bank used was the Emotional Adjustment Bank
 247 (Rubio et al., 2007), containing 28 items. In total, the answers were obtained
 248 from 792 participants, considering three categories for each item: "agree", "neu-
 249 tral" and "disagree". The answers provided by the participants were randomly
 250 divided into a training and a test set of equal size. The responses from the
 251 training set were used to calibrate the parameters of the items and construct
 252 the corresponding CATs with each method. On the other hand, the answers
 253 from the test set were used to obtain estimates of latent levels using the CATs
 254 created by the different methods. These estimates were compared with the es-
 255 timates of the latent levels that were obtained after administering the 28 items
 256 to each participant. Similarly to the experiment with simulated data, the item
 257 selection criterion was the MEPV. Moreover, the items' exposition rate was set
 258 at $r_i = 0.3$ and the number of items to be administered was seven. Finally, the
 259 administration of the test to each participant for the Merged Tree-CAT, Tree-
 260 CAT, Eligibility and Randomesque methods was repeated 25 times, performing
 261 this process 10 times.

262 Figure 3 shows the MSE of the estimates obtained by each method during
 263 the administration of the test. It can be seen that the Merged Tree-CAT method
 264 obtains estimates as accurate as those from the Tree-CAT method, improving
 265 the Restricted and Eligibility methods. Only the Randomesque method obtains
 266 a smaller error, because as in the previous case, it exceeds the overlap rate: 0.58
 267 versus 0.29, 0.28, 0.28 and 0.29 from Merged Tree-CAT, Tree-CAT, Restricted
 268 and Eligibility, respectively.

Figure 3: Average MSEs for the Alternative Techniques for Real Data



269 Regarding the average computation time, results are shown in Table 2. Com-
 270 putation times have been considerably reduced with respect to the previous
 271 experiment due to the fact that both the number of items in the item bank
 272 and the number of administered items are lower. Again, the proposed Merged
 273 Tree-CAT method is the fastest of all those analyzed.

Table 2: Test Building Times for Real Data

Method	Before administration	During administration
Merged Tree-CAT	≈ 5 s	0 s
Tree-CAT	≈ 36 min	0 s
Randomesque	0 s	≈ 103 min ($15.6 \text{ s} \times 396$)
Eligibility	0 s	≈ 117 min ($17.7 \text{ s} \times 396$)
Restricted	0 s	≈ 103 min ($15.6 \text{ s} \times 396$)

274 3.3 Experiment 3: Sensitivity Analysis

275 In this last experiment, a sensitivity analysis was performed in order to
 276 compare the performance of the Merged Tree-CAT method with respect to the
 277 original Tree-CAT method. In order to do so, several simulation scenarios are
 278 set according to the number N of items of the item bank, the exposure rate r_i
 279 and the merger parameters K^* and δ . These last two parameters differentiate

280 the Tree-CAT method from the Merged Tree-CAT method, since the first is a
281 particular case of the latter for $K^* = \infty$ and $\delta = 1$. Concretely, the values
282 $N \in \{100, 200, 500\}$, $r_i \in \{0.3, 0.6, 0.9\}$, $K^* \in \{25, 50, 100, 250, 500, 1000\}$ and
283 $\delta \in \{0, 0.5, 0.98\}$ have been used.

284 For each scenario, the items' parameters and the latent levels θ of the exami-
285 nees were simulated exactly as in Experiment 1 (see section 3.1). In this concrete
286 case, the answers from 10000 examinees were generated in order to increase the
287 accuracy of the results. In addition, the test administration was repeated 25
288 times per examinee, where this whole process was repeated 10 times. Finally,
289 the number of items to administer to each participant was set at $M = 7$.

290 Table 3 shows the MSE of the final estimations obtained by both methods
291 in each scenario. It can be observed that the Tree-CAT method obtains the
292 smallest error in all scenarios. This is because the Merged Tree-CAT method
293 limits the number of branches per level. However, it can also be noticed that
294 the difference between both methods is minimal or even non-existent, depend-
295 ing primarily on the used K^* value: As the maximum number K^* of branches
296 per level increases, the difference between both methods decreases. For in-
297 stance, this difference is null when $K^* = 1000$ and there is enough variety in
298 the item bank ($N = 500$). On table 3, it can also be appreciated that K^* is
299 the decisive parameter to improve the performance of the Merged Tree-CAT
300 method. Although the increase in the δ parameter tends to reduce the error,
301 the improvement is small.

Table 3: Sensibility analysis results

$r_i = 0.3$					
Method			N. of items in bank		
			100	200	500
Tree CAT			0.297	0.275	0.259
Merged Tree CAT	$K^* = 25,$	$\delta = 0$	0.308	0.285	0.269
	$K^* = 25,$	$\delta = 0.5$	0.308	0.285	0.269
	$K^* = 25,$	$\delta = 0.98$	0.308	0.284	0.268
	$K^* = 50,$	$\delta = 0$	0.302	0.279	0.263
	$K^* = 50,$	$\delta = 0.5$	0.303	0.279	0.264
	$K^* = 50,$	$\delta = 0.98$	0.302	0.279	0.263
	$K^* = 100,$	$\delta = 0$	0.301	0.278	0.261
	$K^* = 100,$	$\delta = 0.5$	0.301	0.278	0.261
	$K^* = 100,$	$\delta = 0.98$	0.300	0.278	0.261
	$K^* = 250,$	$\delta = 0$	0.300	0.277	0.261
	$K^* = 250,$	$\delta = 0.5$	0.300	0.277	0.261
	$K^* = 250,$	$\delta = 0.98$	0.300	0.277	0.261
	$K^* = 500,$	$\delta = 0$	0.300	0.276	0.260
	$K^* = 500,$	$\delta = 0.5$	0.300	0.276	0.261
	$K^* = 500,$	$\delta = 0.98$	0.299	0.276	0.260
	$K^* = 1000,$	$\delta = 0$	0.299	0.276	0.260
	$K^* = 1000,$	$\delta = 0.5$	0.299	0.276	0.260
	$K^* = 1000,$	$\delta = 0.98$	0.299	0.277	0.259

$r_i = 0.6$					
Method			N. of items in bank		
			100	200	500
Tree CAT			0.285	0.262	0.250
Merged Tree CAT	$K^* = 25,$	$\delta = 0$	0.296	0.273	0.261
	$K^* = 25,$	$\delta = 0.5$	0.295	0.273	0.261
	$K^* = 25,$	$\delta = 0.98$	0.294	0.273	0.258
	$K^* = 50,$	$\delta = 0$	0.291	0.269	0.255
	$K^* = 50,$	$\delta = 0.5$	0.291	0.269	0.255
	$K^* = 50,$	$\delta = 0.98$	0.291	0.268	0.253
	$K^* = 100,$	$\delta = 0$	0.289	0.266	0.253
	$K^* = 100,$	$\delta = 0.5$	0.290	0.266	0.253
	$K^* = 100,$	$\delta = 0.98$	0.288	0.266	0.253
	$K^* = 250,$	$\delta = 0$	0.288	0.264	0.251
	$K^* = 250,$	$\delta = 0.5$	0.288	0.264	0.251
	$K^* = 250,$	$\delta = 0.98$	0.287	0.264	0.251
	$K^* = 500,$	$\delta = 0$	0.287	0.264	0.251
	$K^* = 500,$	$\delta = 0.5$	0.286	0.264	0.251
	$K^* = 500,$	$\delta = 0.98$	0.286	0.264	0.250
	$K^* = 1000,$	$\delta = 0$	0.286	0.263	0.251
	$K^* = 1000,$	$\delta = 0.5$	0.286	0.264	0.251
	$K^* = 1000,$	$\delta = 0.98$	0.286	0.263	0.250

$r_i = 0.9$					
Method		N. of items in bank			
		100	200	500	
Tree CAT		0.278	0.258	0.247	
Merged Tree CAT	$K^* = 25, \delta = 0$	0.294	0.271	0.257	
	$K^* = 25, \delta = 0.5$	0.294	0.271	0.257	
	$K^* = 25, \delta = 0.98$	0.291	0.270	0.257	
	$K^* = 50, \delta = 0$	0.288	0.266	0.253	
	$K^* = 50, \delta = 0.5$	0.288	0.266	0.253	
	$K^* = 50, \delta = 0.98$	0.286	0.265	0.252	
	$K^* = 100, \delta = 0$	0.287	0.264	0.250	
	$K^* = 100, \delta = 0.5$	0.287	0.264	0.250	
	$K^* = 100, \delta = 0.98$	0.285	0.264	0.250	
	$K^* = 250, \delta = 0$	0.282	0.263	0.250	
	$K^* = 250, \delta = 0.5$	0.282	0.263	0.250	
	$K^* = 250, \delta = 0.98$	0.283	0.261	0.248	
	$K^* = 500, \delta = 0$	0.281	0.261	0.248	
	$K^* = 500, \delta = 0.5$	0.281	0.261	0.248	
	$K^* = 500, \delta = 0.98$	0.281	0.259	0.248	
	$K^* = 1000, \delta = 0$	0.281	0.261	0.248	
	$K^* = 1000, \delta = 0.5$	0.281	0.261	0.248	
	$K^* = 1000, \delta = 0.98$	0.280	0.259	0.247	

302 4 Conclusions

303 In this article, we have presented the Merged Tree-CAT method for building
304 CATs using a DT structure. Like the original Tree-CAT method, this method
305 creates the test before it is administered, instantly supplying the items to the
306 participants. The main difference between the Merged Tree-CAT and the Tree-
307 CAT method is that the former constrains the growth of the tree by merging
308 those branches whose estimates and distributions of the latent level are similar.
309 The objective of these unions is to fast build tests that require little memory
310 space without losing precision in the estimates.

311 The Merged Tree-CAT has two fundamental advantages over the Tree-CAT
312 method. First of all, Merged Tree-CAT can build and administer the test on a
313 standard computer. This is an important difference with respect to the Tree-
314 CAT method since, although the built test can be administered on a personal
315 computer, it requires a cluster for its construction. Secondly, Merged Tree-CAT
316 performs the construction of the CAT in seconds, while Tree-CAT may take from
317 several minutes up to months, depending on the length of the test and the size
318 of the item bank. This calculation speed also surpasses that of other alternative
319 CAT building techniques, with the advantage that the Merged Tree-CAT does
320 not incur calculation time during test administration.

321 The speed of the Merged Tree-CAT method does not imply any significant
322 loss of accuracy. The errors obtained by this method are practically the same
323 as those obtained by the Tree-CAT method. In addition, with respect to the
324 other alternative techniques, the Merged Tree-CAT method achieves maximum
325 precision by controlling the overlap rate.

326 In conclusion, the Merged Tree-CAT method significantly improves the Tree-
327 CAT method, enabling to quickly build CATs on any personal computer. There-
328 fore, this proposed method is an ideal tool for the building of tests that must
329 be administered simultaneously to a large number of participants.

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