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Understanding VCSEL-Based Gain Switching Optical Frequency Combs: Experimental Study of Polarization Dynamics

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Abstract—In this paper, we carry out an experimental study on the polarization properties of a vertical-cavity surface-emitting laser (VCSEL) working under gain switching (GS) regime and the characteristics of the resulting optical frequency comb signal. We have observed that each of the two polarization modes presented in the VCSEL continuous wave emission spectrum generate a separate optical frequency comb (OFC) whose modes are phase correlated thanks to the GS regime. We study how these combs associated with the main and orthogonal polarization modes, respectively, vary depending on the input parameters to the VCSEL (bias current and radio-frequency power and frequency). The correlation between both OFCs in the best operation point as defined by the OFC characteristics is also evaluated. Therefore, this study demonstrates that two orthogonally polarized combs are generated that exhibit a high correlation between each other that combine to produce a wider overall optical comb. Hence, we can predict the feasibility of dual-polarization VCSEL-based OFC generators in the few gigahertz repetition frequency rates, with highly correlated modes and continuously tunable distance between them, in a compact and energy- and cost-efficient system that can find application in ultrafast laser dynamics studies and or in polarization-division multiplexing optical communications.

Index Terms—Energy efficiency, gain switching (GS), laser diodes (LDs), optical frequency comb generator (OFCG), orthogonal mode, polarization switching, vertical-cavity surface-emitting laser (VCSEL).

I. INTRODUCTION

OPTICAL frequency comb generators (OFCG) are versatile systems that find application in many disciplines like spectroscopy [1], optical communications [2], THz generation [3], optical arbitrary waveform generation, metrology, or microwave photonic [4]. Among OFCGs, those based on cost of

the shelf (COTS) laser diodes (LDs) are interesting systems since they offer compactness and cost efficiency.

There are numerous diode laser technologies. One of the most interesting ones is vertical-cavity surface-emitting laser (VCSEL) devices [2], [5], [6]. Previous studies have demonstrated that VCSEL diode lasers under gain switching (GS) regime [7] produce record combs in terms of energy efficiency and mode coherence. The interest of such combs could be extended if wider optical spans could be achieved while maintaining its high quality capabilities. In this sense, the use of external comb extension techniques, like electro-optical modulators could be detrimental as they are costlier components and have higher energy needs [8]. A deeper understanding of the dynamics of VCSEL under GS to generate optical combs could help us comprehend how to obtain wider combs using a single stage OFCG. This is the main focus of this experimental work, where we will center our attention in the polarization dynamics of VCSEL devices under GS operation to produce optical frequency combs. VCSELs are typically considered to be single-longitudinal-mode devices. However, their emission consists of two linearly polarized modes with orthogonal polarizations that, due to anisotropies in the materials, emit at different wavelengths [2], [9]. Manufacturers have developed effective techniques to minimize this duality and present devices that exhibit a side mode suppression ratio above 30 dB, what makes this device purely monomode for many applications. However, we believe that this suppressed mode can play an important role in VCSEL-based OFCG.

In this work we present new results on our study of VCSEL-based GS-OFCGs. We evaluate the dynamic behaviour of these two orthogonal modes of polarization present in a VCSEL under GS and how this affects the overall OFCG. We have observed that each polarization mode generates a separate optical comb and we have analysed the evolution of each of them with the input parameters that determine the GS operation of a VCSEL device (bias and RF modulation). On the other hand, and more importantly, we have seen how these orthogonally polarized combs that appear are related in phase and collaborate to produce a wider overall total comb. This is remarkable if we take into account that the two modes present high anticorrelation if no modulation is applied as it is stated in [10], [11]. Therefore, this will imply that the phase correlation is caused by the GS regime. This result suggests that an enhancement of the emission of the secondary mode, through fabrication or external injection techniques, would help overcome one of the disadvantages of

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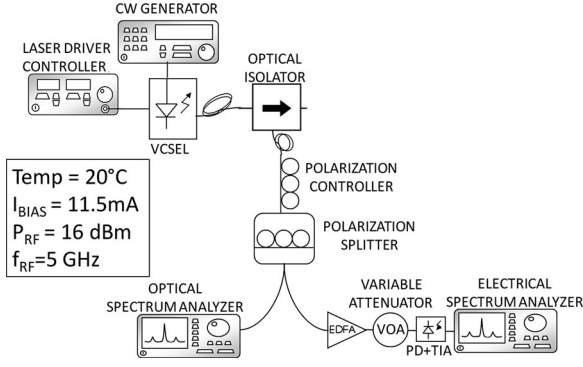


Fig. 1. Experimental set-up. The comb is generated inside the VCSEL and both polarization components are split and presented at each output of the PS. The optical and electrical spectra are measured in the OSA and ESA respectively. See text for details.

this OFCG, which is the limited optical span, if compared to more complicated sources. Another advantage is that the availability of two coherent combs with orthogonal polarizations can find applications in ultrafast laser dynamics studies [12] or in polarization-division multiplexing optical communications [13].

II. EXPERIMENTAL STUDY

Fig. 1 details the experimental set-up we have used for the present work. The VCSEL diode laser device under study is a state of the technology device (VERTILAS VL-1550-8G-P2-H4) provided together with a specific board for radiofrequency (RF) operation within the laser bandwidth. The comb is generated inside the VCSEL cavity using the non-linear GS regime. Under GS operation, a large RF signal is injected in the laser cavity forcing its pulsed operation. Therefore an optical comb is created in the frequency domain. In our experiments, we will mainly use the VCSEL temperature stabilized at 20 °C with a bias current of 11.5 mA and an input RF signal of 16 dBm at 5 GHz (Fig. 1). Any change on these parameters will be noted in the text. This is the working point where we found the best optical comb characteristics, as it will be explained in the next section.

After the VCSEL, an optical isolator is placed to avoid any optical feedback. The output of the VCSEL is composed of two modes orthogonally polarized that are split with a polarization controller (PC) and a fiber polarization splitter (PS) from Thorlabs (PBC1550PM-APC). We will measure the optical spectra in an optical spectrum analyzer (OSA) with 0.002 nm resolution and the electrical spectra in an electrical spectrum analyzer (ESA) using an ultrafast 50 GHz photodetector. Before the photodetector, an erbium-doped fiber amplifier and a variable optical attenuator are used to equalize the carrier power when needed.

In this work, P or *total signal* is the total output power of the VCSEL, including the main mode P_x , with parallel polarization, and the suppressed side mode P_y , with orthogonal polarization.

In Fig. 2 we show the PI curves of the two modes with orthogonal polarizations: P_x and P_y in dBm. These optical powers have been measured placing a powermeter after each output of

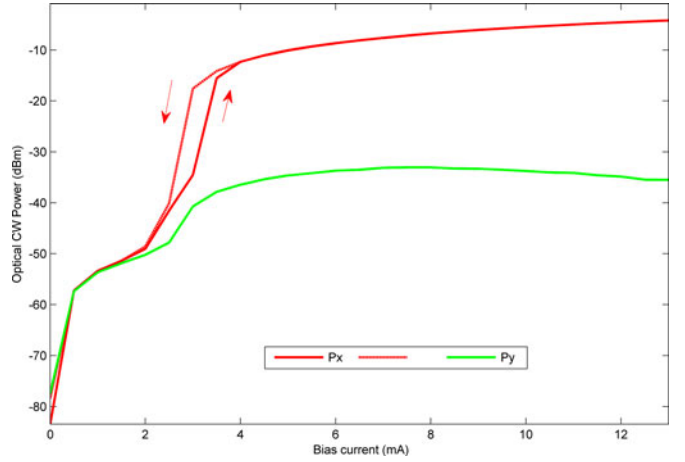


Fig. 2. PI Curves for P_x and P_y . The main polarization mode, P_x , emits most of the power at the output of the VCSEL and the orthogonal mode power is > 40 dB below the main mode.

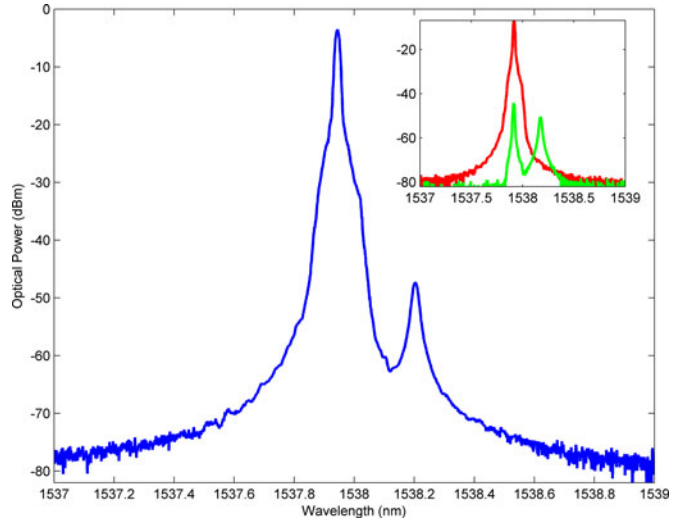


Fig. 3. CW emission spectra for P , P_x and P_y . The dominant main mode in P at 1537.95 nm is more than 40 dB above the residual orthogonal mode shown at 1538.20 nm. The birefringence of this VCSEL is 31.21 GHz. Both outputs of the PS selecting P_x (red line) and P_y (green line) respectively are presented in the figure inset.

the PS and tuning carefully the PC to maximize the contribution of the mode of interest (P_x or P_y).

Below and around threshold ($I_{\text{BIAS}} = 2.5$ mA) both components have similar power levels. Above threshold, P_x and P_y are always present but the main mode, P_x , emits most of the total power ($P_x/P_y > 40$ dB). However, the orthogonal mode shows an increase in emission > 10 dB when crossing the threshold, what means it can be considered a lasing mode. We also observe hysteresis around threshold in the PI curves in both modes that shows a parallel evolution with respect to each other. From these results we conclude that the device under study exhibits a stable emission without polarization switching bistable regions. Following the classification of [14], this VCSEL is type c because both modes lase at the same time.

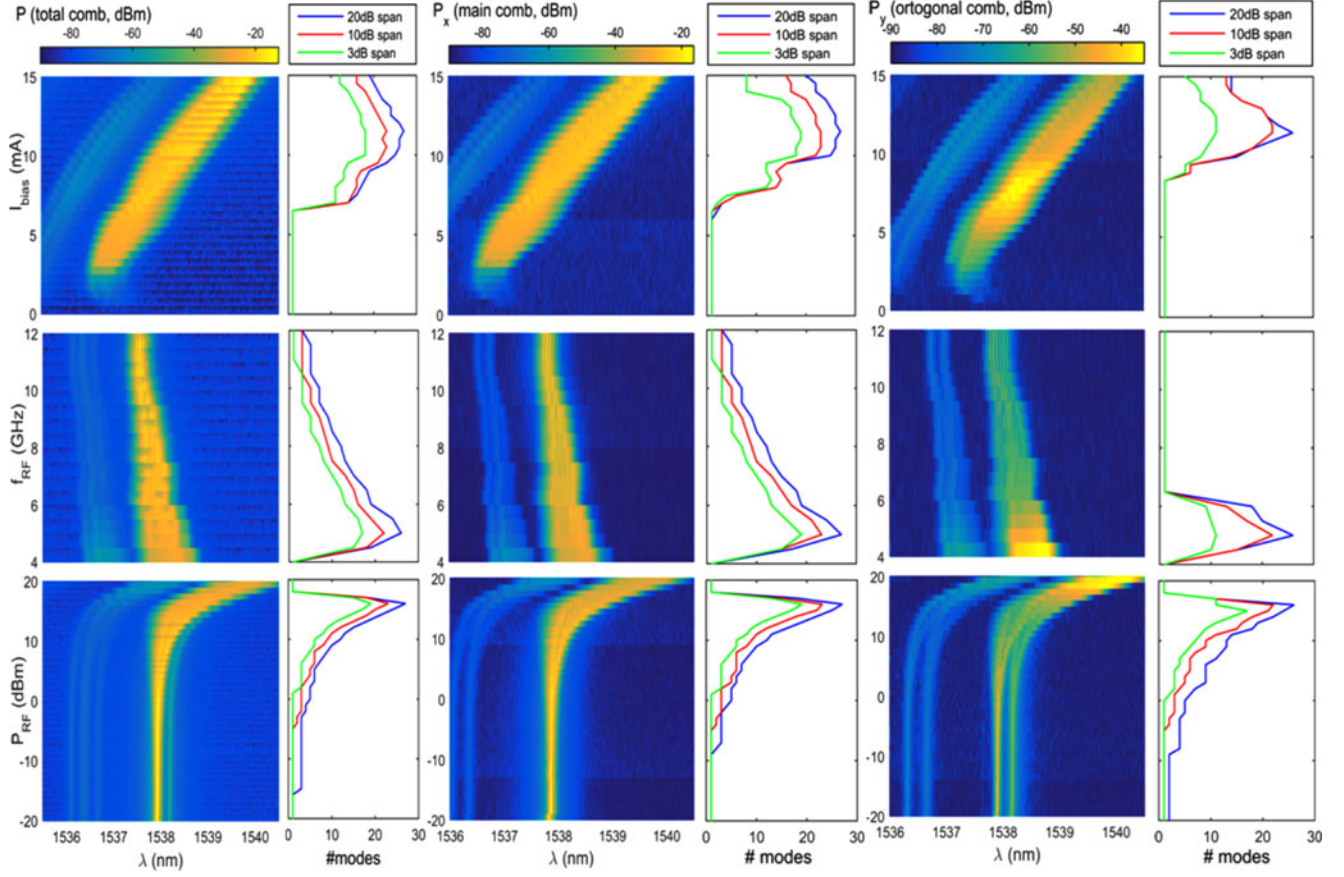


Fig. 4. Left: total comb (P -OFCG): maps with its evolution with bias current, RF frequency and RF power respectively and curves detailing the number of modes at 3, 10, and 20 dB spans. Center: Main comb (P_x -OFCG) maps with its evolution with bias current, RF frequency and RF power respectively, and curves detailing the number of modes. Right: Orthogonal comb (P_y -OFCG) maps with its evolution with bias current, RF frequency and RF power respectively, and curves detailing the number of modes. The broadest comb for the three signals under study— P , P_x and P_y —occurs at $T = 20^\circ\text{C}$, $I_{\text{bias}} = 11.5\text{ mA}$, $f_{\text{RF}} = 5\text{ GHz}$ and $P_{\text{RF}} = 16\text{ dBm}$.

In Fig. 3 we can see that at 11.5 mA the VCSEL presents a clear main P_x mode at 1537.95 nm with -4.2 dBm peak power and an orthogonal P_y mode at 1538.20 nm with -47.3 dBm . Then, there is a 43.1 dB power ratio between the P_x and P_y signals and the frequency difference of the modes with orthogonal state of polarisation due to the birefringence of the laser amounts to 31.21 GHz, what is consistent with the manufacturer's specifications.

The signals at each output of the PS, P_x and P_y are also shown (inset Fig. 3). When the P_y signal is selected (light with orthogonal polarization), a residual part of the main P_x mode is still detectable even if the PS component reduces its contribution more than 30 dB. Using several PS elements in cascade to improve the polarization selectivity gives the same result as in Fig. 3, so it does not improve the selectivity as, at the output of the first component the polarization in both wavelengths is now the same. Then, from now on, P_x signal is the light at the output of the VCSEL with parallel polarization, which coincides with the main lasing mode and P_y signal is constituted by all the light with orthogonal polarization, including the secondary mode and a residual part (minimized but still detectable) of the light of the main mode.

A. Optical Frequency Comb Generation and Characteristics

Under GS operation, the VCSEL generates different optical combs depending on the amount of RF power injected to modulate the laser (P_{RF}), its frequency (f_{RF}), and the bias current applied (I_{BIAS}). In Fig. 4 we depict the maps detailing the evolution of the total comb of the VCSEL P -OFCG (left), the P_x -OFCG (centre) and the P_y -OFCG (right) when each of these parameters change with respect to the reference values mentioned above. For each comb we show three maps and three figures. The figures correspond to the number of modes exhibited by the comb under study when each of the parameters controlling the GS regime changes. The number of modes is evaluated considering 3 dB, 10 dB and 20 dB optical bandwidths. For applications such as THz photonic generation, the useful optical span can be defined up to 20 dB [15]. For optical communications, the flatness of the comb is more critical and the useful modes lie within a 3 or 10 dB bandwidth [16]. This is why we have evaluated the comb span using several metrics.

In Fig. 4(left) we observe that the total P -OFCG redshifts when I_{BIAS} increases. The broadest comb is obtained at 11.5 mA. The RF frequency affects the comb differently and its width decreases with the frequency when we try to drive

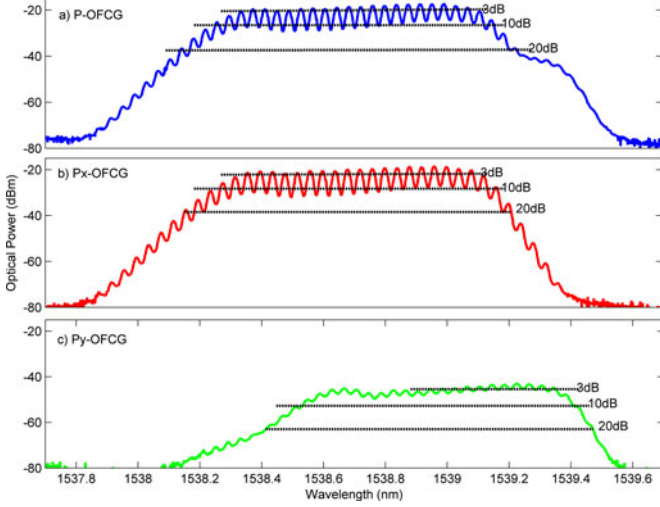


Fig. 5. Best point combs obtained at 20 °C, 11.5 mA, 5 GHz, 16 dBm. (a) The upper line corresponds to the total output of the VCSEL, P-OFCG, with both polarization modes included. (b) The middle line is the comb P_x -OFCG, generated only with the parallel polarization signal. (c) The lower line is the comb P_y -OFCG which has the orthogonal polarization. The combs P -OFCG and P_x -OFCG have 27 lines in the 20 dB span which corresponds to 135 GHz bandwidth. The comb P_y -OFCG has one mode less, 26 in total, so the bandwidth in this case is 130 GHz.

the device above the modulation bandwidth of the laser (around 5 GHz), what weakens the GS regime. The broadest comb in this case, appears at 5 GHz. Finally, the comb evolution with the RF power shows how the comb broadens with the injection of power, as the GS regime accentuates. However, above 17 dBm, the device enters into period doubling operation and the total comb does not broaden any further. We are not interested in this nonlinear regime of the VCSEL as our focus now is a tunable comb with a directly controllable repetition frequency equal to f_{RF} . Therefore, the broadest comb takes place for 11.5 mA, 5 GHz and 16 dBm. In Fig. 4(left) (upper figure, blue line) we show this comb, which has a total of 27 lines which corresponds to 135 GHz considering 20 dB span.

The same evolution study has been done for P_x and P_y . It is very interesting to note that the characteristics of these sub-combs are similar to the ones presented by the total P -comb: we observe the red-shift associated to the increase of the bias current and the opposite happens with the RF frequency due to the modulation bandwidth of the VCSEL. For both P_x and P_y , the optimum comb in terms of span occurs at $I_{BIAS} = 11.5$ mA, $f_{RF} = 5$ GHz and $P_{RF} = 16$ dBm. Varying these parameters will imply either a narrower comb or the appearance of period doubling operation. Of course, the orthogonal mode exhibits an optical comb with lower optical power and a slightly higher central wavelength. However, the power ratio between the P_x and P_y optical combs is now only 26 dB, when it reached 43.1 dB under CW operation. This is one of the most important results of our study as the GS regime enhances the power associated to the orthogonally polarized signal P_y .

In Fig. 5 we analyse the optical combs generated in the best operation point in terms of optical span and flatness described before ($T = 20$ °C, $I_{BIAS} = 11.5$ mA, $f_{RF} = 5$ GHz, $P_{RF} = 16$

TABLE I
COMPARISON TOTAL MAIN AND ORTHOGONAL OFCG

	Total-OFCG P	Main-OFCG P_x	Orthogonal-OFCG P_y
Span@ 3 dB	10 5 GHz/21 modes	95 GHz/19 modes	55 GHz/11 modes
Span@ 10 dB	120 GHz/24 modes	115 GHz/23 modes	110 GHz/22 modes
Flatness: f3 dB = 3 dB/10 dB	21/24 = 0.88	19/23 = 0.83	11/22 = 0.5
Span@ 20 dB	135 GHz/27 modes	135 GHz/27 modes	130 GHz/26 modes
Flatness f10 dB = 10 dB/20 dB	24/27 = 0.89	23/27 = 0.85	22/26 = 0.85

dBm). For this study, we have defined the comb flatness as the ratio of the 3 dB to the 10 dB optical span and the 10 to the 20 dB optical span. The closer these values are to 1, the closer the comb is to exhibit a flat-top shape, more desirable for applications such as optical communications. The main results for this analysis of the OFCs generated are summarized in Table I. The total output of the VCSEL, P -OFCG that we show in Fig. 5(a) (upper trace) has 27 lines in the 20 dB span what corresponds to a bandwidth of 135 GHz and a flatness of 0.88 and 0.89 respectively (see Table I). The same 20 dB span is measured in the comb with only the main polarization mode, the P_x -OFCG shown in Fig. 5(b) (middle line) of 27 modes and 135 GHz. The 3 and 10 dB spans are 19 and 23 modes respectively so the flatness is 0.83 and 0.85 in each case. Finally, we see the orthogonal comb, P_y -OFCG in Fig. 5(c) (lower line) which is slightly narrower with 26 lines that imply 130 GHz in the 20 dB span. In this case the 3 and 10 dB spans are 11 and 22 modes and the flatness is 0.5 and 0.85 respectively. Then, the flatness of this comb is significantly worse than the one exhibited by P and P_x , and it has a different shape, shifted in frequency, as the initial secondary mode was.

Comparing these three combs we can distinguish each polarization sub-comb in the total one. The part of the total comb above the 20 dB line is mainly formed by the energy with the parallel polarization and we can see that their shapes match perfectly in this central part. However, if we focus on the right decay slope of the total comb (longer wavelengths around 1539.3 nm) we observe that a small hip appears whose shape corresponds to the right part of the orthogonally polarized OFCG, the P_y -OFCG which has much lower power. There we also see that the P_x -OFCG is more symmetric than the total comb because these orthogonally polarized components are not present. If the optical power associated to the orthogonal mode could be enhanced, a total optical comb with wider span could be achieved with VCSEL-based OFCG schemes.

Special attention deserves the evolution of the P_y -OFCG with the radiofrequency modulation power P_{RF} , since this is the parameter that more clearly influences the formation of optical combs under GS regime. The map of such evolution was presented in Figs. 4, and in Fig. 6 we show the evolution of the shape of the P_y comb more in detail. When the RF modulation power is below -10 dBm, the optical spectra of the orthogonally polarized light is formed by the secondary mode, with a peak power of -50 dBm and a residual emission coming from the main mode with a peak power of -43 dBm. These two orthogonally polarized components of the output of the laser evolve as

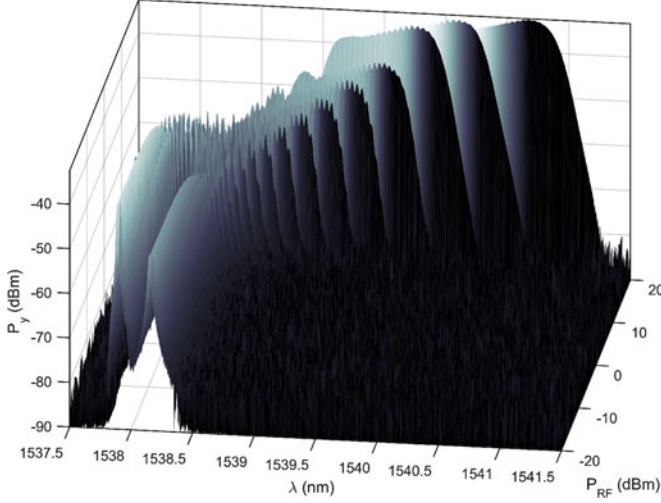


Fig. 6. Evolution of the optical comb with orthogonal polarization, P_y when P_{RF} increases. Initially, two separate comb-like structures are observable, that eventually melt to produce the final orthogonal comb P_y .

the GS regime is established. Initially, two separated comb-like spectral shapes appear associated to each of these components, being the one associated to the secondary mode the one whose peak power and optical span evolves faster. When P_{RF} is increased further, the two comb-like structures melt in a single optical comb with orthogonal polarization. After the study of the dynamics of the formation of this orthogonal comb, it is important to evaluate whether this two initial structures end up forming a coherent unique comb and how this P_y comb is related to the main P_x comb with parallel polarization. Results on this matter are presented in the next section.

In order to summarize this optical frequency comb generation section, we would like to remark the fundamental conclusions obtained: a) the three combs evolve similarly with the supply parameters and they all offer the best performance at 20 °C of VCSEL temperature, 11.5 mA of bias current, 5 GHz and 16 dBm as modulation signal; b) the power difference between the parallel and orthogonal mode respectively decreases significantly with the GS regime, from 43.1 dB of difference in the CW operation to 26 dB when the modulation is included; and c) both combs seem to be related to each other and they both generate the total comb. Further work is presented in the following section in order to extract whether the total comb is formed by two coherent combs with perpendicular polarizations or they are two independent signals and there is no phase relation between them.

B. Coherence of the Modes of the Comb

In this section we are paying attention to the coherence of the modes that form the combs. We evaluate this coherence by measuring the electrical beat tone signal at f_{RF} that is generated when directly detected in an ultrafast photodiode the signal of each of the combs under study: the ones associated to P , P_x and P_y signals. Hence, we evaluate mixing all the modes of

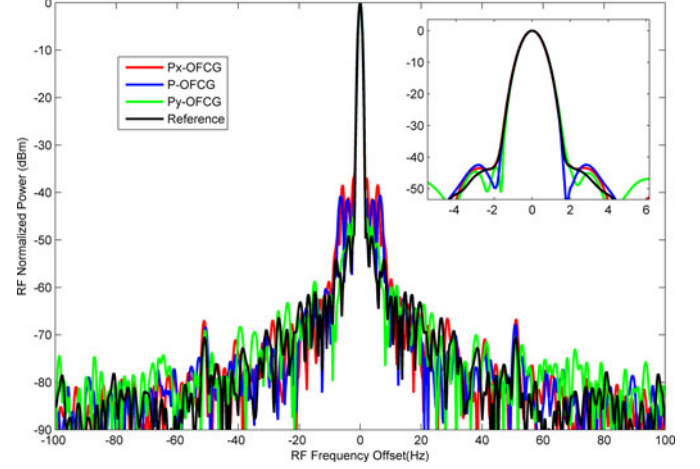


Fig. 7. RF Linewidth for the combs in Fig. 5: P -OFCG (blue line), P_x -OFCG (red line), P_y -OFCG (green line) and Reference (black line). In the inset we plot a zoom of these signals obtained with the lower Resolution Bandwidth available in the ESA used. Therefore in all cases the linewidth is limited by the equipment capabilities. See text for more details.

each comb under study and analyse the electrical spectra and the linewidth of the line at f_{RF} . An increase of the linewidth of this tone or a degradation of the noise present in the spectra is directly related to a decrease in the coherence between the modes and a degradation in the quality of the comb. In previous works, we have already seen the high coherence in the modes of the total comb generated with GS (P -OFCG in this article) with a beat note signal with noise level equal to the one in the reference signal (the CW source) [7] and how this result is also valid if we add other elements like Electro-Optical Modulators maintaining the initial GS regime in the VCSEL [8]. This implies that the use of a GS technique improves the coherence relation between the different modes in the final comb. Now we want to study if this coherence is maintained among these sub-combs with orthogonal polarization states. Moreover we also want to check with the electrical spectra, the phase coherence between both orthogonally polarized combs to test if they are two independent combs or they are somehow related to each other.

Thus, to evaluate the coherence of the modes in each sub-comb we compare the linewidth of the reference signal (the RF CW source used to induce the GS regime) at f_{RF} and the linewidth of the beat tone signals at f_{RF} for each of the combs. In Fig. 7 we present the curves for the total comb P -OFCG (blue line), the main comb P_x -OFCG (red line), the orthogonal comb P_y -OFCG (green line) and the reference signal which is the radiofrequency CW source at 5 GHz (black line). In the figure we see that all the combs present the same decay slope which is slightly broader than the reference one at around -40 dB from the carrier peak, where we find a broadening of the three combs in respect to the reference. In all these traces the floor is found at -80 dBm. On the other hand, we show in the inset a zoom of the beat tone where we can see that the 3 dB linewidth is, for every signal, around 1 Hz. This means that is in the equipment limit as the minimum resolution bandwidth is this value, 1 Hz.

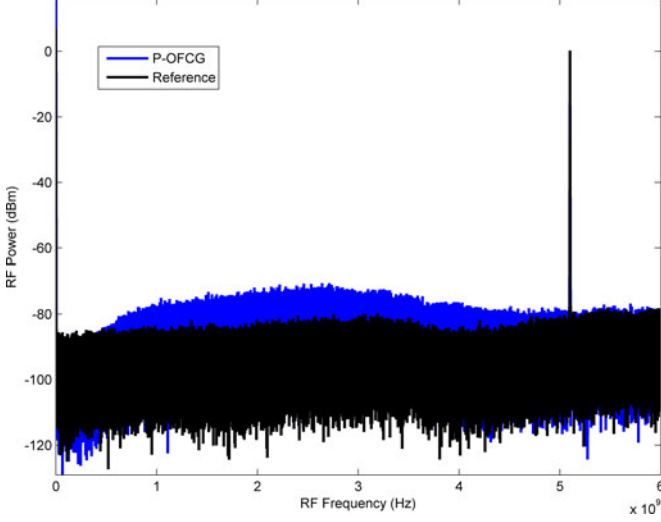


Fig. 8. RF Spectra for the total combs *P*-OFCG (blue line) and Reference (black line). We see that the noise level increases in the *P*-OFCG in respect to the reference curve but still is a remarkable low noise with the RF frequency as the only frequency component. This imply high correlation between the modes in the different combs. See text for more details.

With this figure we deduce that each comb has high coherence in their modes and we have high quality combs as the beat tone signal is always in the order of the reference equipment used for modulation.

On the other hand, we want to evaluate the coherence between modes that belong to different sub-combs. For this purpose, we compare the differences in the electrical spectra of each comb with higher span than the used in the previous image, from DC to some MHz above the RF injected frequency. We want to see if new frequency components appear in the RF spectra (different from 5 GHz) and then both combs are completely independent or not. In the latter case, the main and the orthogonal combs would be phase related and might be locked. In Fig. 8 we show the electrical spectra curve for the total comb *P*-OFCG (blue line) and the electrical spectrum of the reference signal, directly at the output of the CW source (black line).

The total comb curve presents a clear peak at the RF frequency of 5 GHz and no other frequency components appear. This implies that both sub-combs, *P_x*-OFCG and *P_y*-OFCG, are phase correlated and not free running in respect to each other. Besides, the noise floor in the *P*-OFCG curve is close to the reference one and then the total comb is a signal with high coherence between all its modes as we were expecting taking into account our previous results [7]. This high coherence is driven by the GS regime because the polarization modes of a VCSEL are in CW operation anti-correlated regarding [10], [11].

III. CONCLUSION

In this work, we have presented a study of the polarization dynamics of VCSELs under GS regime, and how these polarizations contribute in the OFCGs with COTS components. OFCGs are interesting systems for many applications and LDs will

allow these systems to become more efficient in cost and energy and more compact at the same time. Inside LDs technologies, VCSELs increment the impact in these three factors, they are easy to integrate and produces at the same time wider combs in comparison to other LDs technologies. GS modulation for generating these OFCGs is a versatile technique that yields to easy generation with tunable mode distance and no need of extra components in the set-up.

Analyzing the VCSEL-based GS-OFCG we observe that both polarization components form two different OFCGs, each of them with orthogonal polarization in respect to each other and they are correlated to each other. This correlation is a consequence of the GS regime as both polarization modes are, in CW operation, anti-correlated. Along this work, we have studied the quality of this OFCG in terms of optical span and flatness and also RF linewidth of the detected beat note of each comb and noise after being detected. For this purpose, here we have analyzed the VCSEL-based OFCG and the properties of the two orthogonally polarized combs that form this optical signal. First we have analyzed the optical spectra of the whole signal and the two different combs, each one with orthogonal polarizations. We have seen that they all evolve with the same pattern depending on the supply characteristics and they all have the best performance at 20 °C of VCSEL temperature, 11.5 mA of bias current, 5 GHz of modulation frequency and 16 dBm as modulation power. We have seen that one of the modes, with an orthogonal polarization, has much lower output power because of the birefringence of the material and a fabrication pinning process but it still produces an optical comb and in this comb the difference in power between both modes is reduced with GS regime compared to the power difference in CW operation. Apart of this optical study, we have presented a RF study where we have checked that each of the sub-combs present high correlation between their modes as the beat tone signal presents a linewidth comparable to the reference and in the limit of the equipment used for measure. We have also seen that both sub-combs are phase correlated to each other and not free running.

Future work will be developed in several lines: on one hand we are interested in repeat these measurements with VCSELs with less orthogonal mode suppression in order to directly compare both sub-combs and go further in the analysis of the polarization components of VCSELs and their influence in comb generation. On the other hand, we would like to check if we can obtain, with Optical Injection Locking, two correlated and completely locked combs with similar properties in which we can play with the polarization with techniques like the ones observed in [17]. We will also work in order to increase the optical power in the orthogonal comb by including Optical Injection Locking of each one of the combs, the *P_x*-OFCG and the *P_y*-OFCG respectively and how this affects the total comb, *P*-OFCG. Another future line consists in changing the birefringence of the VCSEL sample, varying the distance in the central wavelength of both sub-combs and increasing also the modulation frequency range available. Experiments like this have been previously done in works like [18].

To conclude, our VCSEL-based GS-OF CG offers, in the few GHz repetition frequency rates, dual-polarization combs with tunable distance and high correlation in their modes in an easy, energy efficient and easy to integrate system, with applications in ultrafast laser dynamics studies or in polarization-division multiplexing optical communications. All these features make VCSEL-based GS-OF CGs promising systems to produce low cost and high quality OF CGs which is a tendency nowadays. However, the optical span and the flatness are still limiting factors in comparison to other non LDs comb generation techniques. Our work continues in order to improve the generated signal and more deeply understand the dynamics of our LD source.

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