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Modeling MTC and HTC Radio Access in a Sliced 5G Base Station

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Abstract—In this article, we develop a modeling framework 2 to describe the uplink behavior of radio access in a sliced cell, 3 including most features of the standard 3GPP multiple access 4 procedures. Our model allows evaluating throughput and latency 5 of each slice, as a function of cell parameters, when resources 6 are in part dedicated to individual slices and in part shared. 7 The availability of an accurate model is extremely important 8 for the automated run time management of the cell and for the 9 correct setting of its parameters. Indeed, our model considers 10 most details of the behavior of sliced 5G cells, including Access 11 Class Barring (ACB) and Random Access CHannel (RACH) pro-12 cedures, preamble decoding, Random Access Response (RAR), 13 and Radio Resource Control (RRC) procedures. To cope with 14 a number of slices devoted to serve various co-deployed ten-15 ants, we derive a multi-class queueing model of the network 16 processor. We then present (i) an accurate and computationally 17 efficient technique to derive the performance measures of interest 18 using continuous-time Markov chains, which scales up to a few 19 slices only, and (ii) tight performance bounds, which are useful 20 to tackle the case of more than a fistful of slices. We prove the 21 accuracy of the model by comparison against a detailed simula-22 tor. Eventually, with our performance evaluation study, we show 23 that our model is very effective in providing insight and guide-24 lines for allocation and management of resources in cells hosting 25 slices for services with different characteristics and performance 26 requirements, such as machine type communications and human 27 type communications.

28 Index Terms—Radio access network, 5G, base station, slicing, 29 queuing networks, HTC and MTC coexistence.

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I. Introduction

TETWORK slicing is a defining feature of the 5G tech- 31 nology. It allows the presence of several tenants on one infrastructure, and the effective coexistence of services with quite different characteristics and requirements in different virtual slices of the same network. The NGMN (Next Generation Mobile Network) Alliance [2], formed by mobile network operators and equipment manufacturers, gives the following definition of network slice instance [3]: "a set of network 38 functions, and resources to run these network functions, forming a complete instantiated logical network to meet certain network characteristics required by the service instance(s)." Network slicing is thus based on the allocation of a shared or 42 dedicated portion of the network resources to each slice, to achieve the best possible Quality of Service (QoS) for each slice, expressed by means of the relevant key performance indicators (KPIs) like throughput, latency, service availability, etc. ETSI Technical Specification 123 501 [4] defines three classes of slices. The first class refers to slices "suitable for 48 the handling of 5G enhanced mobile broadband" (eMBB). The second class refers to slices "suitable for the handling of ultra-reliable low latency communications" (URLLC). The third class refers to slices "suitable for the handling of massive IoT" (mIoT). Several slices of the same class can coexist on one infrastructure.

The allocation of resources to the individual slices and their real-time management can be implemented with the support of Software Defined Networking (SDN) and Network Function Virtualization (NFV) approaches, hence with management and orchestration (MANO) functions, and in particular with a resource orchestrator that monitors KPIs on different slices and properly manages resources, so as to avoid Service Level Agreement (SLA) violations.

While several papers already looked at the issues related to resource orchestration (as we discuss in the Related Work Section), in this article we look at the problem of uplink radio resource allocation to slices on the radio interface of one cell, an issue which, to the best of our knowledge, has not yet been considered in the technical literature. In particular, we develop a detailed stochastic model of the behavior of the sliced cell radio access, including: (i) Access Class Barring (ACB) techniques, (ii) Random Access CHannel (RACH) procedures, (iii) preamble decoding and Random Access Response (RAR), and (iv) Radio Resouce Control (RRC) procedures.

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¹Except for a preliminary version of this work that appeared in [1].

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The development of a model capable of predicting the QoS achieved by services using the different slices available in the cell as a function of the cell parameters is extremely important for the automated run time management of the cell and for the correct setting of its parameters, aiming at the simultaneous fulfillment of SLAs in all slices.

Our model builds on the approach we presented in [5] and 82 extends it to account for the presence of slices. It allows the 83 computation of the throughput achieved by each slice, as well 84 as the distribution of delays for each service in each slice. We 85 focus in particular on the case of HTC (human-type communi-86 cations) and MTC (machine-type communications), the former 87 including broadband services, while the latter embraces both 88 time-critical and massive-type services. In both cases we rely 89 on eMBB slices, which are the only suitable type of slice 90 when it comes to handle large numbers of devices active ad 91 the same time [4]. Alternative access schemes, e.g., NOMA-92 based grant-free access techniques are convenient only for 93 devices generating traffic sporadically [6], while fast uplink 94 grants proactively generated by the base station incur non-95 negligible delay and packet dropping, and reduce the capacity 96 of the cell [7]. This explains why today one of the key ques-97 tions about 5G KPIs concerns the possibility of coexistence eMBB for the provision of an increasingly rich gamut services to human end users, together with the services 100 required by either massive or critical MTC necessary for implementing smart factory, industry 4.0 and IoT concepts. 101

Our main contributions are as follows:

- We develop a flexible detailed analytical model for the performance analysis of one cell hosting several slices.
- We provide expressions for the computation of relevant KPIs, such as slice throughput and latency distribution.
- We apply the model to the investigation of the performance of one cell hosting up to six slices for HTC and MTC.
- We provide insight and guidelines for the allocation and management of resources in cells hosting HTC and MTC slices.

The rest of this article is organized as follows. Section II provides a detailed description of the studied system. Section III presents our analytical model and derives expressions for KPIs. Section IV describes and comments results for the case of two to six MTC/HTC slices, validates them by comparison against simulation, and discusses the main model's insights. Section V positions our work with respect to previous work. Finally, Section VI concludes this article.

II. SLICING RADIO ACCESS RESOURCES

Here we describe radio resource sharing among slices, using the notation of Table I.

124 A. Access and Connection Procedures

All devices that need to access a service, of both MTC and HTC types, must execute the random access procedure, that starts when a RACH (Random Access CHannel) opportunity (RAO) is offered by the BS. Before accessing the RACH, a terminal may be delayed by the ACB (Access Class Barring)

TABLE I NOTATION USED IN THIS ARTICLE

Notation Description									
$A^{(i)}$	ACB backoff for slice i	$p_R^{(i)}$	RACH failure prob. without collision (slice <i>i</i>)						
$B^{(i)}$	RACH backoff for slice i	τ	RAO interval						
C	BS capacity	T_{\min}	Minimum time needed to get a RACH reply						
$C^{(i)}$	BS capacity dedicated to slice i	T_{\max}	RACH timeout						
C_s	shared BS capacity	$T_O^{(i)}$	application timeout for slice i						
$k_{\max}^{(i)}$	max number of RACH attempts (slice i)	$Y_k^{(i)}$	time elapsed when leaving stage k (slice i)						
M	max number of RRC_CONNECTED terminals	Z	time spent in a RACH stage waiting for an ACK						
$M^{(i)}$	guaranteed RRC_CONNECTED positions (slice i)	$\zeta^{(i)}$	exogenous arrivals for slice i						
M_s	shared RRC_CONNECTED places	$\lambda^{(i)}$	RACH request arrivals for slice i						
N_p	number of random access preambles	Θ	RACH limit per RAO (slice-oblivious)						
$o^{(i)}$	power ramping offset for slice i	$\sigma^{(i)}$	flow of acknowledged RACH requests (slice i)						
$p_A^{(i)}$	barring probability for slice i	$\phi^{(i)}$	flow of decoded RACH requests for slice i						
p_B	blocking probability (same for all slices)	$\psi^{(i)}$	RACH throughput for slice i						
$p_C^{(i)}$	RACH collision probability observed by slice <i>i</i>	$\xi^{(i)}$	Network processor throughput for slice <i>i</i>						

procedure, that allows a prioritization in the RACH access. 130 Barring a service request of a service class happens with a 131 given probability. 132

The RACH procedure consists in a packet handshake to 133 synchronize BS and terminal and to assign a unique identi- 134 fier to the terminal service request. A request is successful 135 only when resources are actually allocated to the terminal 136 with the signaling messages that are exchanged after the 137 random access success. Indeed, the standard 3GPP access 138 procedure includes the RACH access phase and the RRC 139 (Radio Resource Control) connect phase, with four mes- 140 sages exchanged in total. In case of failure during one of 141 the two stages, the terminal repeats its attempt after a ran- 142 dom backoff delay, possibly with different transmission power, 143 according to the standard 3GPP power ramping mechanism 144 that defines how nodes progressively increase their transmis- 145 sion power after each failed attempt [8]. Different backoff 146 values can be defined for failures in different points of 147 the procedure.

In the RACH access phase, the terminal chooses one out of $_{149}$ available preambles, and transmits it at the next available $_{150}$ RAO. If several terminals choose the same preamble, a collision occurs, and the access request cannot be decoded. Note $_{152}$ that this is a conservative assumption, since decoding could $_{153}$ happen for the highest power transmission in some cases, due $_{154}$

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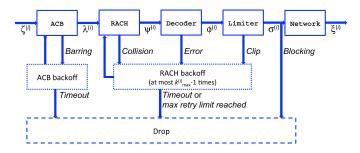


Fig. 1. System blocks representing sliced network functions for the i-th slice hosted by a base station.

155 to the radio capture effect; our analysis is thus slightly pes-156 simistic. If just one terminal chooses a given preamble, its 157 request is decoded, provided the terminal transmission power 158 is high enough. If a collision occurs, or the power is too low, 159 the RACH access must be repeated.

If a request is decoded, the terminal can receive an acknowl-161 edgment from the BS. There is a limit (denoted by Θ in this 162 article) to the maximum number of ACKs that can be transmitted by the BS for each RAO, so that a decoded request can 164 receive no ACK if the limit is reached. If no ACK is received, 165 the terminal must repeat the RACH access procedure.

Terminals that complete the access procedure can move to the RRC CONNECTED state and receive service from the 167 168 BS. A limit exists to the maximum number of terminals that 169 can be in the RRC_CONNECTED state (we call it M), so that 170 there is a possibility that the terminal request is blocked even 171 after receiving an ACK. In this case, the terminal notifies the ser with an error message equivalent to the busy tone in the voice phone system. 173

A maximum number of repetitions for the RACH access 175 procedure is defined, called k_{max} , After k_{max} attempts, a 176 request is dropped. A repetition can be due to collision (with probability p_C) and to no ACK received (with probability $p_R(k)$ at the k-th attempt, with the associated power level). 179 In addition, a maximum amount of time is defined for the 180 completion of an access procedure instance. When this time 181 is reached, a timeout expires, and the instance is dropped.

Once a terminal is in the RRC CONNECTED state, it 182 183 receives its share of the BS capacity, in terms of allocated 184 resource blocks.

This whole procedure is illustrated in Fig. 1, where we see 186 new service request generation on the left, the ACB subsystem, 187 followed by the RACH, the Decoder and the Limiter, all with their backoffs, timeout possibilities and maximum number of retries. The Network subsystem corresponds to service 190 by the BS, if no blocking occurs. In the system, the following 191 events lead to drop the connection attempt: network blocking, 192 timeout, and exceeding the RACH retry limit.

193 B. Sliced System

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In case of a sliced system, it is necessary to define an allo-195 cation of the BS resources to the different slices (identified in this article with a superscript denoting the slice index).

In the spirit of the 3GPP LTE standard, we assume that the 198 barring probability is a characteristic of a service, but since

we allocate one service to each slice, the barring probabil- 199 ity $p_A^{(i)}$ depends on the slice. The power ramping offset can 200 provide a significant differentiation among slices, increasing 201 the probability of decoding for the slices using higher power. 202 For this reason we will consider different values for differ- 203 ent slices. The subset of RACH preambles that can be used 204 by a slice significantly impacts the collision probability. We 205 will thus consider the case of different subsets (possibly with 206 non-empty intersection) of preambles for different slices. We 207 will instead assume that the ACKs provided by the BS to ser- 208 vice requests that succeed on the RACH and at the decoder 209 are equally available to all slices. Obviously, the maximum 210 number of terminals in the RRC_CONNECTED state is a key 211 aspect for governing the slice KPIs, and we will thus consider 212 cases where values are different for different slices. These val- 213 ues have an impact on the bandwidth share obtained by each 214 terminal. Specifically, we assume that the BS allocates por- 215 tions of bandwidth to each slice, and that the bandwidth is 216 then equally shared within the slice among terminals in the 217 RRC CONNECTED state. Out of the M available positions, 218 we reserve $M^{(i)}$ for unique use of slice i, with the sum of the 219 $M^{(i)}$ less or equal to M. The remaining positions are shared 220 by all slices. The values of backoff delays and access timeouts 221 must be tailored to the types of service and the KPI goals of 222 each slice, so they must be carefully set by the operator.

Note that we consider a partial isolation of slide resources, 224 and we will show in the Numerical Results Section that this 225 approach can provide better performance with respect to a full 226 isolation.

III. ANALYSIS

We model a sliced system that represents the uplink chain 229 that goes from the end user terminal, to the radio connection 230 to the BS, to network service within a cell. We leave out of 231 the analysis the connection from the BS to the core network 232 and study in detail BS resource slicing.

A. System Flows

The reference system is the one illustrated in Fig. 1, which 235 includes the network procedures described in the previous sec- 236 tion. As shown in the figure, each block can either promote a 237 connection request to the next level, until service is completed, 238 or yield a failure event. The figure only indicates flows and 239 some configuration parameters for slice i, although we assume 240 the presence of S slices.

The ACB block sees a flow $\zeta^{(i)}$ as input. Barring at the ²⁴² ACB happens with probability $p_A^{(i)}$ and yields backoffs $A^{(i)}$ ²⁴³ for slice i, with no limit on the number of consecutive backoffs 244 (up to the timeout values). We assume that, within a slice, ACB 245 backoff durations are i.i.d. and exponentially distributed.

The RACH block receives the flow $\lambda^{(i)}$ from the ACB, which 247 is no higher than $\zeta^{(i)}$ due to the possibility of timeout in the 248 ACB. Failures on a RACH access attempt can be due to colli- 249 sion, decoding errors or clipping at Limiter. A user cannot 250 distinguish which type of failure occurred, it simply observes 251 that the BS does not acknowledge its request in an interval 252 $T_{
m max}$ and then it schedules a RACH backoff before another 253

attempt will start (if the timeout has not expired). We call *stage* k the k-th RACH access attempt. We assume that the RACH backoff durations $B^{(i)}$ are i.i.d. random variables (r.v.'s) with exponential distribution. Each RACH stage k produces a flow $\psi_k^{(i)}$ of successes, which feeds Decoder. Of course, the total flow of successes leaving RACH is $\psi_k^{(i)} = \sum_{k=1}^{k_{\rm max}} \psi_k^{(i)}$.

The Decoder block introduces losses based on a decoding probability that depends on the RACH stage, because of power ramping (with specific per-slice offset). The output of Decoder is a flow $\phi^{(i)} \leq \psi^{(i)}$, which feeds Limiter.

The Limiter block causes failures due to the cap Θ on the number of RACH acknowledgments per RAO. This is a hard limit for the ensemble of slices running on the same BS. The output of Limiter is a set of flows $\sigma^{(i)}$, one per slice, such that $\sum_{i=1}^S \sigma^{(i)} \leq \Theta/\tau$.

If a service request eventually reaches Network, it can still be blocked if the BS network processor has no position left for that slice (and in the shared pool). Blocking happens with probability $p_B^{(i)}$. Conversely, successful requests are served by the network, with a per-slice throughput denoted by $\xi^{(i)}=1$ (1- $p_B^{(i)}$) $\sigma^{(i)}$.

The busy tone can therefore be caused by network blocking as well as excessive RACH access attempts (after $k_{\rm max}^{(i)}$ backto-back RACH failures) or by specific application timeouts (the app running on the terminal and trying to send a message will not wait forever). The busy tone is directly returned to the user as the service request is dropped.

For the framework described above, we now derive expressions for the flows (loads and throughputs) and for the distribution of time spent in the system.

284 B. Access Time

Let us consider a request from slice i that arrives at ACB. We denote by $Y_{k-1}^{(i)}$ the time spent by that request from its arrival to ACB to the moment it enters stage k. $Y_{k-1}^{(i)}$ consists of a random number $L^{(i)}$ of barring backoffs, (k-1) times the interval T_{\max} and k-1 RACH backoffs.

If there is a success at the k-th stage, the time spent by the request before leaving is $Y_{k-1}^{(i)}+Z$, where the random interval $Z\leq T_{\max}$ is needed to model the delay between RACH request and network grant and it is independent from all r.v.'s $Y_j^{(i)}$, $j=1,2,\ldots,k_{\max}^{(i)}$, $i=1,2,\ldots,S$. In this case, the request is served with probability $1-p_B^{(i)}$ or otherwise dropped. Therefore the time spent for a network blocking is the same as for a success (because we are not counting the network service in the access time).

If there is a failure due to the maximum number of RACH attempts, the time spent is $Y_{k_{\max}^{(i)}}^{(i)}$ and the request is dropped. Instead, in case of timeout, the time spent is the timeout value selected for slice i, namely $T_O^{(i)}$, and the request is dropped as well. The distribution of $Y_k^{(i)}$ is

$$F_{Y_k^{(i)}}(x) = \Pr\left\{\sum_{n=1}^{L^{(i)}} A_n^{(i)} + kT_{\max} + \sum_{n=1}^k B_n^{(i)} \le x\right\}, (1)$$

where $L^{(i)} \geq 0$ is the random number of back-to-back 305 deferrals experienced because of ACB, due to the barring probability $p_A^{(i)}$ associated to slice i, and the subscript n indicates 307 the n-th passage through either the backoff of ACB or RACH. 308 Similarly, the distribution of $Y_{k-1}^{(i)} + Z$ is

$$F_{Y_{k-1}^{(i)}+Z}(x)$$

$$= \Pr\left\{\sum_{n=1}^{L^{(i)}} A_n^{(i)} + (k-1)T_{\max} + \sum_{n=1}^{k-1} B_n^{(i)} + Z \le x\right\}$$
(2) 312

Because of the independence of the r.v.'s used in the above street expressions, denoting by f_Z the p.d.f. of Z, the following useful result holds:

$$F_{Y_{k-1}^{(i)}+Z} = F_{Y_{k-1}^{(i)}} * f_Z. (3) 316$$

Moreover, the sum of a fixed number of exponential RACH 317 backoffs is an Erlang r.v., and the sum of a geometri- 318 cally distributed number of ACB exponential backoffs with 319 ACB backoff probability $p_A^{(i)}$ and average ACB backoff E[A] 320 exhibits the following cumulative distribution:

$$\Pr\left\{\sum_{n=1}^{L^{(i)}} A_n^{(i)} \le x\right\} = 1 - p_A^{(i)} e^{-\left(1 - p_A^{(i)}\right) \frac{x}{E[A]}}, \quad \forall x \ge 0. \quad \text{322}$$
(4) 323

The above considerations tell that the distribution (1) can be 324 obtained as the convolution of (4) with an Erlang distribution 325 with shape parameter equal to k and average $k E[B^{(i)}]$ (i.e., 326 the average of k backoff intervals), and a time shift $k T_{\rm max}$. 327

A request enters RACH stage 1 if its timeout does not 329 expire during the ACB backoffs. We denote such probabil- 330 ity as $P_N^{(i)}(1)$, which is computed through (4) evaluated at 331 $x=T_O^{(i)}$.

Subsequently, and while the timeout does not expire, a sass request leaves the RACH stage with either a success, or sat progress to the next stage upon a collision, or a failure in sass Decoder or in Limiter. We indicate the probability to saccess stage k as $P_N^{(i)}(k)$, for which we derive the following sass recursive expression:

$$\begin{split} P_N^{(i)}(k+1) &= P_N^{(i)}(k) \Big[1 - \Big(1 - p_C^{(i)} \Big) \Big(1 - p_R^{(i)}(k) \Big) \Big] \\ &\times F_{Y_L^{(i)}} \Big(T_O^{(i)} \Big). \end{split} \tag{5} \label{eq:5}$$

In the above expression, $p_C^{(i)}$ indicates the collision probability in RACH, $p_R^{(i)}(k)$ is the probability of failure in either matter of failure of failure in either matter of failure of failure in either matter of failure of f

350 D. Event Probabilities

RACH retry limit exceeded: The quantity $P_N^{(i)}(k_{\max}^{(i)}+1)$, sse formally defined as for other values of k in (5), represents the fraction of $\zeta^{(i)}$ that exceeds the RACH retry limit.

Access attempt success: The fraction of $\zeta^{(i)}$ that observes success in stage k is derived as the fraction of requests that $_{356}$ enters stage k and experiences no failure:

$$P_{S}^{(i)}(k) = P_{N}^{(i)}(k) \left(1 - p_{C}^{(i)}\right) \left(1 - p_{R}^{(i)}(k)\right) \left(1 - p_{B}^{(i)}\right) \times F_{Y_{t_{i}}^{(i)}, +Z}\left(T_{O}^{(i)}\right). \tag{6}$$

The total success probability of slice i, i.e., the fraction of $\zeta(i)$ requests that succeeds, is therefore $P_S^{(i)} = \sum_{k=1}^{k_{\max}^{(i)}} P_S^{(i)}(k)$. Network blocking: This is similar to the case of success in

362 stage k, but with a network blocking failure:

$$P_B^{(i)}(k) = P_N^{(i)}(k) \left(1 - p_C^{(i)}\right) \left(1 - p_R^{(i)}(k)\right) p_B^{(i)} \times F_{Y_{k-1}^{(i)} + Z} \left(T_O^{(i)}\right). \tag{7}$$

The fraction of access requests $\zeta^{(i)}$ that experiences network blocking is thus $P_B^{(i)} = \sum_{k=1}^{k_{\max}^{(i)}} P_B^{(i)}(k)$.

Timeout: A timeout can occur either during ACB backoffs,

with probability $P_{TO}^{(i)}(0)=1-P_N^{(i)}(1)$, or during RACH operations. In the k-th stage, a fraction of requests suffer a timeout 370 while waiting for the network grant or during the backoff. 371 Hence, for k > 1:

$$P_{TO}^{(i)}(k) = P_N^{(i)}(k) \left\{ \left(1 - p_C^{(i)} \right) \left(1 - p_R^{(i)}(k) \right) \right. \\ \times \left[1 - F_{Y_{k-1}^{(i)} + Z} \left(T_O^{(i)} \right) \right] \\ + \left[1 - \left(1 - p_C^{(i)} \right) \left(1 - p_R^{(i)}(k) \right) \right] \\ \times \left[1 - F_{Y_k^{(i)}} \left(T_O^{(i)} \right) \right] \right\}. \tag{8}$$

The total timeout probability observed by a slice is therefore

$$P_{TO}^{(i)} = \sum_{k=0}^{k_{\text{max}}^{(i)}} P_{TO}^{(i)}(k). \tag{9}$$

Busy tone: Access requests that exceed the RACH retry 379 limit, experience a network blocking event, or a timeout, are $^{\mbox{\tiny 380}}$ dropped. Therefore, the busy tone is sent with probability 1- $^{\mbox{\tiny 381}}$ $P_S^{(i)}=P_N^{(i)}(k_{\max}^{(i)}+1)+P_B^{(i)}+P_{TO}^{(i)}.$

382 E. Derivation of Throughputs and Loads With Cycles

With the expressions derived so far, we have characterized 384 the trajectory of the exogenous access requests that feed the system for slice i, i.e., $\zeta^{(i)}$. However, the expressions derived 386 are functions of three parameters that we need to derive next: 387 $p_C^{(i)}$, $p_R^{(i)}(k)$, and $p_B^{(i)}$.

RACH collision probability and throughput: The input of RACH is the flow $\lambda^{(i)}$ that arrives from ACB. However, RACH 390 has internal cycles, and $\lambda^{(i)}$ is just the input to the first stage.

With the definitions of Section III-C, we have the following 391 input flows for each successive stage (note that $\lambda_1^{(i)} = \lambda^{(i)}$): 392

$$\lambda_k^{(i)} = \zeta^{(i)} P_N^{(i)}(k), \quad k = 1, 2, \dots k_{\text{max}}^{(i)}.$$
 (10) 3

We model RACH as a slotted Aloha system with multiple 394 channels. The load of the system is the sum of the requests 395 arriving to the various stages, whereas the number of channels 396 is the number of preambles assigned by the BS to the slice.

Specifically, each slice receives a set of $N^{(i)}$ dedicated 398 preambles. In addition, the BS keeps a pool of N_s shared 399 preambles that can be accessed by all slices. The total number 400 of preambles is $N_p = N_s + \sum_{i=1}^{S} N^{(i)}$.

In each RACH attempt, according to the standard, a terminal 402 selects a preamble uniformly at random, so that the per- 403 preamble RACH load generated by slice i is

$$\ell^{(i)} = \frac{\zeta^{(i)}}{N^{(i)} + N_s} \sum_{k=1}^{k_{\text{max}}^{(i)}} P_N^{(i)}(k). \tag{11}$$

The collision probability over a single preamble j, from 406 slotted Aloha results with slots of duration τ , is as follows:

$$p_{C,j} = \begin{cases} 1 - e^{-\tau \ell^{(i)}}, 1 \le i \le S, & \text{dedicated preamble;} \\ 1 - e^{-\tau \sum_{i=1}^{S} \ell^{(i)}}, & \text{shared preamble.} \end{cases}$$

(12) 409

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The resulting per-slice RACH collision probability is 410 derived as the average of (12) over the preambles used by 411 a slice and selected uniformly at random at each attempt:

$$p_C^{(i)} = 1 - \frac{N^{(i)}e^{-\tau\ell^{(i)}} + N_s e^{-\tau\sum_{q=1}^S \ell^{(q)}}}{N^{(i)} + N_s}.$$
 (13) 413

The throughput of RACH (for slice i and stage k) is:

$$\psi_k^{(i)} = \left(1 - p_C^{(i)}\right) \lambda_k^{(i)}, \quad \psi^{(i)} = \sum_{k=1}^{k_{\text{max}}^{(i)}} \psi_k^{(i)}. \tag{14}$$

Throughput of Decoder: At each stage of the RACH, 416 Decoder has a different failure probability, due to power 417 ramping in RACH message transmissions [8]. In particular, as 418 explained in [8], the Decoder failure probability is expressed 419 as a negative exponential of the power level index (an integer 420 starting from 1 and incremented at each RACH access) used 421 for the transmission. This is the negative exponential of the 422 number of attempts under standard operations, while in our 423 case the expression becomes $e^{-k-o^{(i)}}$, where k is the RACH 424 attempt stage and $o^{(i)} \geq 0$ is an integer representing the slice 425 offset, i.e., the number of steps in the power ramping proce- 426 dure which are skipped by slice i. Therefore, at stage k, slice 427 i observes the following Decoder throughput:

$$\phi_k^{(i)} = \psi_k^{(i)} \left(1 - e^{-\left(k + o^{(i)}\right)} \right) \tag{15}$$

which sums up to a flow $\phi^{(i)} = \sum_{k=1}^{k_{\max}^{(i)}} \phi_k^{(i)}$. 430 Losses due to Limiter: The BS can only grant Θ requests 431

per RAO, shared between the slices. Therefore there are losses 432 when the output of Decoder in a RAO interval is higher 433 than Θ requests. With the RACH preamble partition described 434 495 above, we can compute the distribution of successes per RAO 496 and hence compute the average loss due to Limiter.

In a pool of W preambles subject to homogeneous perpreamble load, e.g., in a pool of shared preambles, or in a pool of preambles dedicated to a single slice, the probability ω_a to have exactly a decoded messages in a RAO is approximated with the probability of having a successes over W i.i.d. Bernoulli experiments (one per RACH preamble, which can only output no or one decoded request). The success probability of each Bernoulli experiment is computed from the aggregate number of messages decoded in an interval τ , as shown next.

For a pool of dedicated preambles $N^{(i)}$, the collision probability is the same for all preambles and it is given by (12). Thus, for each preamble, the average output per RAO, after decoding, is

$$p^{(i)} = \tau e^{-\tau \ell^{(i)}} \sum_{k=1}^{k_{\text{max}}^{(i)}} \left(1 - e^{-(k+o^{(i)})}\right) \frac{\lambda_k^{(i)}}{N^{(i)} + N_s}, \quad (16)$$

which can be regarded as the Bernoulli success probability of dedicated preambles. For the shared pool, the result is similar:

$$p_{s} = \tau e^{-\tau \sum_{i=1}^{S} \ell^{(i)}} \sum_{i=1}^{S} \sum_{k=1}^{k_{\max}^{(i)}} \left(1 - e^{-\left(k + o^{(i)}\right)}\right) \frac{\lambda_{k}^{(i)}}{N^{(i)} + N_{s}}.$$

$$(17)$$

For a dedicated pool we have the following distribution:

$$\omega_a^{(i)} = \begin{cases} \binom{N^{(i)}}{a} (p^{(i)})^a (1 - p^{(i)})^{N^{(i)} - a}, & a \in \{0, ..., N^{(i)}\}; \\ 0, & \text{otherwise}; \end{cases}$$

while for the shared pool of preambles we have

$$\omega_{sa} = \begin{cases} \binom{N_s}{a} (p_s)^a (1 - p_s)^{N_s - a}, & a \in \{0, ..., N_s\} \\ 0, & \text{otherwise.} \end{cases}$$
(19)

Finally, putting together the different pools, the probability Ω_a to have exactly a messages decoded (from any slice) is

$$\Omega_a = \sum_{a_1=0}^{N_p} \sum_{a_2=0}^{N_p} \cdots \sum_{a_S=0}^{N_p} \omega_{a_1}^{(1)} \omega_{a_2}^{(2)} \dots \omega_{a_S}^{(S)} \omega_{s(a-\sum_{r=1}^S a_r)}.$$

$$64 (20$$

465 Overall, the average number of losses is

$$E[N_L] = \sum_{a=\Theta+1}^{N_p} (a-\Theta)\Omega_a, \qquad (21)$$

467 and we can assume that losses are spread over slices propor-468 tionally to their load at Limiter:

$$E[N_L^{(i)}] = E[N_L] \frac{\phi^{(i)}}{\sum_{g=1}^S \phi^{(g)}};$$
 (22)

470 The resulting per-slice Limiter throughput is

$$\sigma^{(i)} = \phi^{(i)} - \frac{E[N_L^{(i)}]}{\tau} = \phi^{(i)} \left(1 - \frac{E[N_L]}{\tau \sum_{q=1}^S \phi^{(q)}} \right). \tag{23}$$

Since losses at Limiter do not discriminate between RACH 472 stages, the Limiter throughput per-stage, $\sigma_k^{(i)}$, is obtained 473 by replacing $\phi_k^{(i)}$ for $\phi^{(i)}$ in (23).

Computation of $p_R^{(i)}(k)$: This quantity is the aggregate loss 475 rate due to the combined action of Decoder and Limiter 476 for requests at stage k:

$$p_R^{(i)}(k) = 1 - \frac{\sigma_k^{(i)}}{\psi_k^{(i)}}$$

$$= 1 - \left(1 - e^{-(k+o^{(i)})}\right) \left(1 - \frac{E[N_L^{(i)}]}{\tau \phi^{(i)}}\right). (24)$$
 479

F. A Model for the Network Subsystem in a Sliced BS

The Network subsystem is a BS network processor. It can 481 serve at most M users at the same time, and the M available 482 positions in service must be shared among slices. Each slice 483 is granted exclusive access to $M^{(i)}, i=1,2,\ldots,S$ positions, 484 with $\sum_{i=1}^S M^{(i)} = M - M_s$, and $M_s \geq 0$. If not all positions 485 are dedicated (i.e., $M_s > 0$), remaining positions are shared 486 among all slices. Arrivals that do not find available service 487 positions are dropped, thus originating the network blocking 488 probability.

The Network subsystem has total service capacity C 490 services per second, out of which $C^{(i)}, i=1,2,\ldots,S$, 491 is reserved for slice i, and C_s is shared among slices. If 492 Network is serving up to $M^{(i)}$ users for slice i, they equally 493 share $C^{(i)}$. However, when there are $m^{(i)}>M^{(i)}$ customers, the i-th queue obtains a service rate equal to $C^{(i)}$ 495 plus a portion of the shared capacity C_s proportional to 496 $m^{(i)}-M^{(i)}$. Hence, the i-th queue service rate depends on the 497 total number of services of all slices, i.e., the service rate when 498 $m^{(i)}>M^{(i)}$ is $C_i+C_s/\sum_{i=1}^S \max(0,m^{(i)}-M^{(i)})$. This 499 service policy is reasonable when most of resources are dedicated to slices, and the fraction of shared capacity is small. 501 Other policies can be considered in different scenarios, and 502 studied with approaches similar to what we describe below.

The above service policy description resembles the operations of a multi-class processor sharing (PS) queue in which a class receives part of what cannot be used by other classes. Thus, we model the network processor of the BS with a PS for queue with S classes of customers and hard limits on the number of customers in service given by $M^{(i)}+M_s$ for each solutions, with a global limit at M. The capacities of such classes are their dedicated capacity $C^{(i)}$ plus a portion of the shared standard the shared of the $\sigma^{(i)}$, but the shared resources are accessed only when all dedicated positions are busy.

Since the resulting queueing system, where the service rate of one class depends not only on the number of customers in that class, but also in other classes, does not admit a productform solution (PFS) [9], we study this queue by means a continuous-time Markov chain with S-dimensional state space (one dimension per class, to count the number of services in the class) whose transitions are depicted in Fig. 2 for the case of two slices (S=2), hence two customer classes. In the figure, each state of the chain reports the number of customers 523

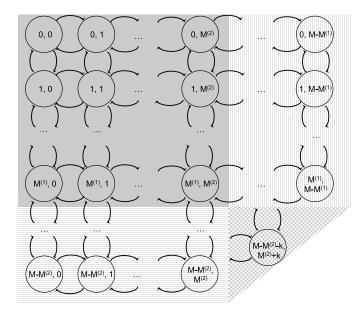


Fig. 2. CTMC describing the operation of Network for S=2. Topto-bottom transitions have rate $\sigma^{(1)}$, while left-to-right transitions have rate $\sigma^{(2)}$. Bottom-to-top transitions have rate $C^{(1)}$ in the first $M^{(1)}$ rows and $C^{(1)}+Q^{(1)}(a,b)$ in the remaining rows. Right-to-left transitions have rate $C^{(2)}$ in the first $M^{(2)}$ columns and $C^{(2)}+Q^{(2)}(a,b)$ otherwise.

 $_{524}$ $m^{(1)} \leq M - M^{(2)}$ in slice 1 and $m^{(2)} \leq M - M^{(1)}$ in slice $_{525}$ 2, subject to the constraint that $m^{(1)} + m^{(2)} \leq M$. The chain $_{526}$ has a precise symmetry and a pentagonal shape, which is due $_{527}$ to the above constraints.

If we denote by (a, b) the chain's state, where a is the number of services in slice 1 and b is the number in slice 2, the transition rates in Fig. 2 from state (a, b) to other states are as follows:

For simplicity of notation, we used the following quantities:

$$Q^{(1)}(a,b) = \begin{cases} \frac{a-M^{(1)}}{a-M^{(1)}+\max(0,b-M^{(2)})} & \text{if } a > M^{(1)}; \\ 0 & \text{otherwise}; \end{cases}$$

$$Q^{(2)}(a,b) = \begin{cases} \frac{b-M^{(2)}}{b-M^{(2)}+\max(0,a-M^{(1)})} & \text{if } b > M^{(2)}; \\ 0 & \text{otherwise}. \end{cases}$$

$$Q^{(2)}(a,b) = \begin{cases} \frac{b-M^{(2)}}{b-M^{(2)}+\max(0,a-M^{(1)})} & \text{if } b > M^{(2)}; \\ 0 & \text{otherwise}. \end{cases}$$

$$Q^{(2)}(a,b) = \begin{cases} \frac{b-M^{(2)}}{b-M^{(2)}+\max(0,a-M^{(1)})} & \text{if } a > M^{(2)}; \\ 0 & \text{otherwise}. \end{cases}$$

Note that different service policies (i.e., different approaches to access the shared capacity) can be accommodated in this Markov chain by just modifying transition rates.

The solution of the Markov chain can be obtained numerically with specialized tools like SMART [10]. We therefore solve the Markov chain numerically to compute $p_B^{(i)}$ as the sum of the relevant state probabilities. In the case of Fig. 2,

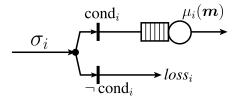


Fig. 3. Representation of the *i*-th queue: arrivals from Limiter are queued if condition (29) is satisfied, and dropped otherwise.

for slice 1 we sum over states that lay on the bottom and 544 diagonal edges, whereas for slice 2 we sum over states on the 545 edges on the right and on the diagonal. This is because the 546 diagonal edge at the right-bottom part of the chain in Fig. 2 547 contains states in which both slices suffer blocking. 548

The state space size of the Markov chain in Fig. 2, $_{549}$ is $O(M^S)$, where M is the maximum number of $_{550}$ RRC_CONNECTED terminals and S is the number of slices. $_{551}$ Hence, being M of the order of few hundreds in real systems, $_{552}$ the numerical solution of the Markov chain is a viable $_{553}$ approach only for a (very) small number of slices. $_{554}$

G. Bounds on the Performance of the Network Subsystem

To mitigate the computational complexity problem, and thus 556 be able to handle a higher number of slices, we can observe 557 that the queuing model has, for each customer class, one 558 queue with finite capacity constraints, Poisson arrivals, and 559 state dependent processor service discipline. Recalling that the arrival rate at the i-th queue is equal to $\sigma^{(i)}$ and the available 561 buffer size is equal to $M - \sum_{j=1, j \neq i}^{S} M^{(j)}$, and denoting by 562 $m = [m^{(1)}, m^{(2)}, \ldots, m^{(S)}]$ the S-dimensional vector of the 563 current states of queues, and by $\mu_i(m)$ the state dependent 564 service rate of the i-th processor sharing queue, we have that

$$\mu_{i}(\boldsymbol{m}) = \begin{cases} C^{(i)} & \text{if } m^{(i)} \leq M^{(i)} \\ C^{(i)} + C_{s} \frac{m^{(i)} - M^{(i)}}{\sum_{j=1}^{S} \max\{0, m^{(j)} - M^{(j)}\}} & \text{otherwise.} \end{cases}$$

$$(28) \quad 567$$

The previous expression for the service rate accounts for the 5688 two cases; (i) for $m^{(i)} \leq M^{(i)}$ the reserved capacity $C^{(i)}$ of 5699 the i-th slice is equally shared among the $m^{(i)}$ customers; (ii) 570 when there are $m^{(i)} > M^{(i)}$ customers, the i-th queue obtains 571 a service rate equal to $C^{(i)}$ plus a portion of the shared capacity C_s proportional to $m^{(i)} - M^{(i)}$. Moreover, since Network 573 can serve at most M customers, for all feasible states m=574 $[m^{(1)}, m^{(2)}, \ldots, m^{(S)}]$ of the queueing system, we have that 575 $\sum_{i=1}^S m^{(i)} \leq M$ and $\sum_{j=1}^S \max\{0, m^{(j)} - M^{(j)}\} \leq M_s$. 576 Arriving customers that cannot enter the i-th queue because

Arriving customers that cannot enter the i-th queue because 577 of lack of space in the reserved positions in the queue (i.e., 578 because they find $m^{(i)} = M^{(i)}$), or because all the shared 579 positions are full (i.e., because they find $\sum_{j=1}^{S} \max\{0, m^{(j)} - 580\}$ $M^{(j)} = M_s$) are lost.

Fig. 3 depicts the *i*-th queue (for $i=1,\ldots,S$) of a queue- 582 ing system with these features. The two vertical bars labeled 583 as 'cond_i' and ' \neg cond_i' represent the two situations that an 584

585 arriving customer can encounter. In particular,

586 cond_i =
$$\left(m^{(i)} < M^{(i)}\right)$$
 or $\left(\sum_{j=1}^{S} \max\{0, m^{(j)} - M^{(j)}\} < M_s\right)$.

587 (29)

If the first part of the condition does not hold, the arriving customer might be served by using the shared resources (M_s and C_s), but if the whole condition cond_i does not hold (case ' $\neg\operatorname{cond}_i$ '), the arriving customer is lost, as pictorially illustrated in Fig. 3.

Several features of this model make it a non-standard multiclass queueing system (i.e., a queuing system which does not admit a PFS), where the main non-product-form characteristic concerns the rate dependency in (28). In particular, the rate of the i-th queue (for $i=1,\ldots,S$) depends on the number derivation of the blocking probabilities requires the solution of the underlying continuous-time Markov chain (CTMC), but, as we already noted, this is feasible only for very small S (in [1] we solved the model for S=2).

To avoid the complexity of a solution based on the generation of the entire state space of the Network model, we propose a simple modification of the model that allows to come up with a PFS queuing network. With this transformation we will be able to derive a lower and an upper bound on the blocking probabilities.

To derive the PFS bounds we modify the rate $\mu_i(\boldsymbol{m})$ of the processor sharing server for each slice $i=1,\ldots,S$ defined in (28) by removing the dependency on the number of customers in all the other S-1 queues. In other words, we replace $\mu_i(\boldsymbol{m})$ with $\mu_i^{(l)}(m^{(i)})$ (resp. $\mu_i^{(u)}(m^{(i)})$) to derive a lower bound (resp. an upper bound) on the loss probability. We derive a lower bound on the loss probability by assuming that when $m^{(i)}>M^{(i)}$ customers are in the queue, $M^{(i)}$ of them get a service rate $\frac{C^{(i)}}{M^{(i)}}$ and the remaining $m^{(i)}-M^{(i)}$ customers access shared resources with no competition from the users of other slices. Thus, we assume that Network allocates all shared bandwidth resources to slice i, i.e., that slice i is the only one accessing the shared bandwidth:

$$\mu_i^{(l)}(m^{(i)}) = \begin{cases} C^{(i)} & \text{if } m^{(i)} \le M^{(i)} \\ C^{(i)} + C_s & \text{otherwise.} \end{cases}$$
(30)

To derive an upper bound for loss probabilities we focus our attention on the second case of (28). In particular, we can see that $M-\sum_{j=1}^S M^{(j)} \geq \sum_{j=1}^S \max\{0,m^{(j)}-M^{(j)}\}$, and hence we can write that

$$\mu_i^{(u)}(m^{(i)}) = \begin{cases} C^{(i)} & \text{if } m^{(i)} \le M^{(i)} \\ C^{(i)} + C_s \frac{m^{(i)} - M^{(i)}}{M_s} & \text{otherwise.} \end{cases}$$
(31)

In this case we assume that all slices are using the shared bandwidth resources. Note that the two bounds are reached for some of the possible states of the queuing system.

By using (30), and (31) instead of (28), we can then derive lower and upper bounds for the loss probabilities (that generate, respectively, upper and lower bounds on throughput). Remarkably, the computation of the bounds can be done by

exploiting their PFS. Indeed, to compute the measures we are interested in, we can use the efficient normalization constant computation algorithm proposed in [11] 2 whose computational complexity is $O(S \cdot M^2)$, where M is the maximum number of users in the RRC_CONNECTED state and S is the number of slices.

Note that the bounds are not directly applicable to different 641 service disciplines (i.e., different algorithms for accessing the 642 shared BS capacity), but approaches similar to the one just 643 described can be devised also for other cases. 644

The main question of any bounding technique concerns the tightness of the provided bounds. To answer this question we will show various numerical examples in the performance evaluation section. Here we remark the fact that the bounds are different only if the slices can use shared resources, and the distance between lower and upper bounds depends on the quantity of shared capacity and positions in the Network subsystem. However, for all those cases in which the RACH subsystem constitutes the main performance bottleneck, shared resources at Network remain unused, so that the bounds (practically) coincide and yield the exact solution.

H. Access Time Distributions

The cumulative distribution of the time $T^{(i)}$ spent in one 657 access attempt in slice i is the one resulting from the follow-658 ing events that partition the space of probabilities: timeout, 659 success or network blocking in stage k, and excess RACH 660 retries. In case of timeout, the time spent is $T_O^{(i)}$. In case of 661 success or network blocking in stage k, the time spent in the 662 access attempt is the r.v. $Y_{k-1}^{(i)} + Z$, conditional to the event 663 that the timeout does not expire. In case of excess RACH 664 retries, the time is $T_{\rm max}$ (for the last retry with no BS answer) 665 plus the r.v. $Y_{k_{\rm max}-1}^{(i)}$ (which accounts for previous retries), 666 conditional to the event that the time at the end of the last but 667 one attempt allows for an extra $T_{\rm max}$ in the last attempt. The 668 result is as follows:

$$\begin{split} F_{T^{(i)}}(x) &= P_{TO}^{(i)} \, \mathcal{U}\Big(x - T_O^{(i)}\Big) + \sum_{k=1}^{k_{\max}^{(i)}} \frac{F_{Y_{k-1}^{(i)} + Z}(x)}{F_{Y_{k-1}^{(i)} + Z}\Big(T_O^{(i)}\Big)} \\ &\times \Big(P_S^{(i)}(k) + P_B^{(i)}(k)\Big) + P_N^{(i)}\Big(k_{\max}^{(i)} + 1\Big) \\ &\quad \times \frac{F_{Y_{\max}^{(i)}}(x - T_{\max})}{F_{Y_{\max}^{(i)}}\Big(T_O^{(i)} - T_{\max}\Big)}, \end{split} \tag{32} \label{eq:32}$$

where $\mathcal{U}(x-T_O^{(i)})$ is a unit step at time $T_O^{(i)}$. As a corollary of (32), note that the CDF of the time spent for one service request in case of success is

$$F_{T^{(i)}}(x|\text{Success}) = \frac{1}{P_S^{(i)}} \sum_{k=1}^{k_{\text{max}}^{(i)}} \frac{F_{Y_{k-1}^{(i)} + Z}(x)}{F_{Y_{k-1}^{(i)} + Z}(T_O^{(i)})} P_S^{(i)}(k).$$
 676

²That paper presents a computational efficient algorithm for a class of product-form models that represent a generalization of the PFS queuing networks with population size constraints.

739

TABLE II SLICE PARAMETERS FOR THE CONSIDERED APPLICATION SCENARIOS WITH TWO SLICES (COLUMNS LABELED AS 'H' REFER TO HTC DEDICATED RESOURCES, 'M' IS USED FOR MTC AND 'S' FOR SHARED RESOURCES)

Scenario	Access request I		Popu	lation	Capacity		Preambles		Positions		Timeout				
	share		sha	are	[Mb/s]						[s]				
	Н	M	Н	M	Н	M	S	Н	M	S	Н	M	S	Н	M
Sparse IoT	0.95	0.05	0.79	0.21	80	2	18	40	5	9	100	10	90	5	5
Dense IoT	0.05	0.95	0.05	0.95	75	5	20	10	40	4	40	100	60	3	1
Small factory	0.75	0.25	0.97	0.03	50	20	30	30	10	14	50	50	100	5	0.1
Big factory	0.3	0.7	0.81	0.19	10	50	40	10	30	14	20	150	30	5	0.1

IV. NUMERICAL RESULTS

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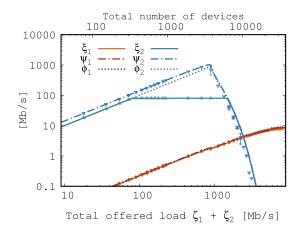
709

In this section we study a few cases of sliced BS resources, which correspond to realistic application scenarios. In all cases we consider a BS with user plane capacity equal to 100 Mb/s 681 that must transmit messages of HTC type with average length 1.2 Mb, and with average length 8 kb in case of MTC; the 683 number of RACH preambles is equal to 54, and the number 685 of positions in the RRC_CONNECT state is 200.

In Table II we provide, for four instances of a BS with 687 two slices, one carrying MTC traffic and one HTC, the shares of traffic (referred to the intensity of access requests, 689 population (referred to the number of devices) and BS capac-690 ity dedicated to the two slices. The table also reports the 691 number of dedicated RACH preambles and positions in 692 the RRC CONNECTED state, and the timeout values. The 693 resources which are not dedicated to slices can be evenly shared. Later we will also address the case of coexistence of larger numbers of slices, considering examples with three and six slices, respectively. The two-slice scenarios that we consider are as follows:

- **Sparse IoT** A BS serving a urban cell with mostly HTC traffic, and a small slice for IoT (MTC)
- Dense IoT A BS serving a cell with mostly MTC access requests characterized by low traffic, so that a large part of the capacity is used by a slice with few HTC devices.
- Small Factory A BS serving a urban area with mostly HTC traffic, but devoting a slice to serve a urban industrial settlement, with MTC traffic.
- **Big Factory** A BS serving a private area (such as a smart factory) with mostly MTC traffic, and a slice to handle HTC traffic.

In the numerical evaluation, we assume that HTC devices 710 711 on average, considering video, voice and data applications, 712 generate one message per second, i.e., each device offers a 713 traffic of 1.2 Mb/s, while for MTC devices the timeout corre-714 sponds to the message generation interval, so to emulate the 715 process of updating the status of a system. This corresponds generating 8 to 80 kb/s per MTC device in the settings of 717 Table II, but we will also explore more extreme cases, gen-718 erating 0.8 to 800 kb/s per MTC device. Table II reports, for 719 each slice and each scenario, both the share of access requests 720 and the corresponding share of population of devices, computed according to the message generation rate of each HTC or 722 MTC device.



Small Factory throughput (in Mb/s) at RACH (Ψ), Decoder (ϕ) and Network (ξ) vs. offered load (in Mb/s and in number of devices); lines represent the model, markers with confidence intervals are for simulations; HTC in blue, MTC in red.

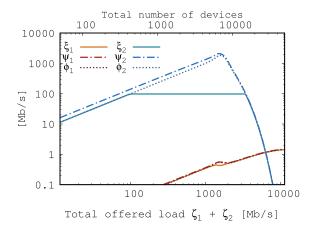
A. Validation

In order to validate the analytical model, we used an ad hoc 724 simulator written in C++. This is an event-based simulator that 725 represents with high accuracy the standard operations neces- 726 sary to register the terminal at the BS, and to access and use 727 the BS resources. The fact that the simulator closely follows 728 the standard 3GPP procedures allows us to validate the simplifying assumptions introduced in the analytical model for the 730 sake of tractability.

Fig. 4 compares analytical and simulation results, with their 732 95% confidence intervals. Notwithstanding the approximations 733 introduced in the model, the figure shows a very good match 734 between model and simulation, up to very high offered loads 735 (more than 7 Gb/s as shown in the x-axis of the figure), which 736 corresponds to large device populations (up to a few tens of 737 thousands of devices, as shown in the secondary x-axis, which 738 maps the offered load onto the number of devices).

B. Throughput

Figs. 4 to 7 illustrate the behavior of the system throughput 741 (at RACH, Decoder and Network) for the four considered 742 two-slice scenarios. In the Sparse IoT case, HTC saturates 743 first, and the HTC load on RACH has only a minor impact 744 on the traffic of MTC. This indicates that light MTC traffic 745 with non-stringent delay requirements is not hard to accom- 746 modate. The Dense IoT case is more interesting. It shows that 747



Sparse IoT throughput (in Mb/s) at RACH (Ψ) , Decoder (ϕ) and Network (ξ) vs. offered load (in Mb/s and in number of devices); HTC in blue, MTC in red.

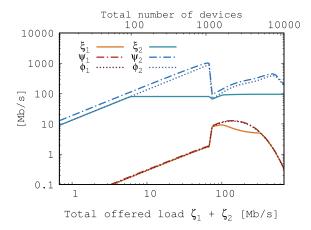


Fig. 6. Dense IoT throughput (in Mb/s) at RACH (Ψ), Decoder (ϕ) and Network (ξ) vs. offered load (in Mb/s and in number of devices); HTC in blue, MTC in red.

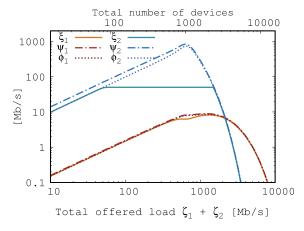


Fig. 7. Big factory throughput (in Mb/s) at RACH (Ψ), Decoder (ϕ) and Network (ξ) vs. offered load (in Mb/s and in number of devices); HTC in blue, MTC in red.

748 the MTC and HTC saturation regions superpose. Here, the 749 activity of MTC in RACH heavily affects HTC performance. 750 Therefore, supporting the coexistence of HTC and MTC slices 751 in such scenarios is challenging. If we go back to the Small 752 Factory case used above for validation, we notice only minor

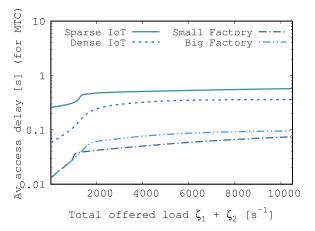


Fig. 8. Average access delays for slice 1 (MTC) in seconds for the four different scenarios versus the total load offered to the BS in service requests per second.

differences with the Sparse IoT case. However, in this case, 753 the limited resources allocated to HTC make it easier to avoid 754 impairments for MTC. More critical is the Big Factory case, in 755 which the MTC traffic is predominant and yet a small amount 756 of HTC connections can seriously hinder MTC performance at 757 relatively low aggregate traffic rates, while under heavy traffic 758 the impact of HTC on the throughput of MTC is less relevant. 759

The figures also show that the losses due to Decoder are 760 negligible for MTC, while they have to be taken into account 761 for HTC. This is due to the fact that we have set a power 762 ramping offset for MTC ($o^{(1)} = 2$), while HTC does not use 763 any offset. This tells of the importance of the power ramping 764 offset in the slice configuration.

765

Due to the heterogeneity of slices, there are no clear optimal 766 device population sizes at which all slices receive the maxi-767 mal throughput. In all cases, the HTC slice works better with 768 a few hundreds of devices, while MTC achieves the high- 769 est Network throughput with a few thousands of devices. 770 Considering the Dense IoT scenario, which shows the highest 771 density of MTC devices (95% of the total), our study shows 772 that a cell can sustain about 2000 MTC devices. If we con- 773 sider instead the Small Factory case, with the highest density 774 of HTC devices (97%), we can observe that the Network 775 subsystem of a cell saturates with as few as 300 HTC devices. 776

C. Access Delay

The numerical results of access delay (the time elapsing 778 from the request generation until the start of the message transmission) for MTC and HTC traffic are shown in Figs. 8 and 9, 780 respectively. Note that in this case we use service requests per 781 second as the horizontal axis metric; this is necessary because 782 the throughput per access request is different in the four two-783 slice scenarios that we compare: in Sparse IoT each access 784 request corresponds on average to 1.14 Mb, in Dense IoT to 785 67.6 kb, in Small Factory to 902 kb, and in Big Factory to 786 365.6 kb. In the case of MTC, the two curves for Small Factory 787 and Big Factory saturate at 100 ms, which is the timeout for 788 those cases. The other two cases remain well below their time- 789 out values which are much less stringent. In the case of HTC, 790

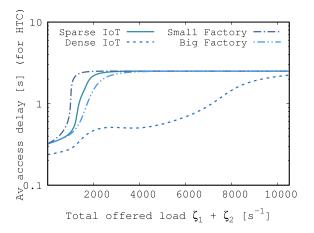


Fig. 9. Average access delays for slice 2 (HTC) in seconds for the four different scenarios versus the total load offered to the BS in service requests per second.

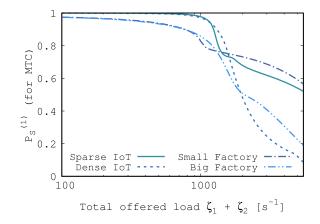


Fig. 10. Success probability for MTC for the four different scenarios versus the total load offered to the BS in service requests per second.

 $_{791}$ we see that all curves saturate at the same value, which is $_{792}$ close to 2.25 s, due to the maximum permitted number of $_{793}$ retries, and the average backoff delay equal to 0.25 s. To this $_{794}$ we must add $10 \cdot T_{\rm max}$, which is however just about 0.13 s. The Small Factory scenario saturates first because a large fraction of the BS traffic is associated with only a small portion of dedicated resources. The Dense IoT scenario yields the lowest delays because its traffic share is very low, and the reserved resources prove to be sufficient to achieve low delay.

800 D. Success Probability

Success probabilities for the four considered scenarios are presented in Figs. 10 and 11 for MTC and HTC traffic, respectively. In the MTC case, Small Factory and Big Factory suffer from the very low timeout values, but achieve good success probabilities up to about 1000 requests/s. Beyond this value, the RACH subsystem approaches saturation, and retrials make success rates in the Dense IoT scenario, due to the fact that the HTC traffic share in this case is very low, and resources reserved to HTC are largely sufficient.

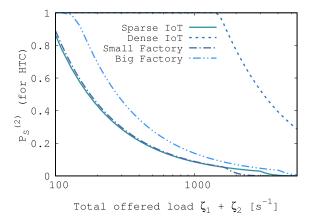


Fig. 11. Success probability for HTC for the four different scenarios versus the total load offered to the BS in service requests per second.

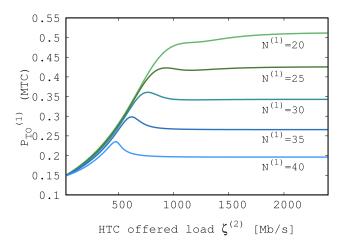


Fig. 12. Timeout probability for MTC at fixed MTC service request rate $\zeta^{(1)}=8$ Mb/s versus the load offered by HTC in Mb/s.

E. Lesson Learnt From the Two-Slice Scenarios

One of our key observations is that the saturation of RACH 812 is a critical issue, and unexpected behaviors are observed for 813 the traffic loads that bring the RACH to saturation. In Fig. 12 814 we plot the probability of reaching the timeout for MTC traffic versus the HTC traffic load in the Big Factory scenario, 816 assuming that the MTC traffic is fixed at 8 Mb/s, and that 817 the number of preambles reserved for MTC is varied between 818 20 and 40. We clearly see a bump in the timeout probability that corresponds to HTC traffic values that lead to RACH 820 saturation. After this point, HTC consumes little Network 821 resources, but saturates the RACH, so that there is a clear need 822 to protect MTC by allocating a large number of dedicated 823 preambles. If the number of dedicated preambles is too small, 824 the timeout probability settles at unacceptable values.

Moreover, considering throughput and delay figures, with the parameters used in the discussed experiments which are typical of networks slowly evolving towards 5G, we can expect to sustain populations of at most a few hundreds of HTC devices or a few thousands of MTC devices. Of course, as we can see from Figs. 10 and 11, approaching such limit numbers implies lower success probabilities. This gives rise to a tradeoff for the network operator, that can exploit accurate size

Slice	Access request share	Population share	Capacity [Mb/s]	Preambles	Positions	Timeout [s]	Duty Cycle [s]
HTC (operator 1)	0.6	0.66	40	30	40	5	1
HTC (operator 2)	0.3	0.33	20	15	20	5	1
MTC (IoT)	0.1	0.01	10	5	5	0.1	0.1
Shared	N/A	N/A	30	4	135	N/A	N/A

 ${\it TABLE~III} \\ {\it Configuration~for~the~Case~of~Three~Slices~With~Highly~Loaded~HTC~Slices~and~Light~MTC~Traffic} \\$

modeling techniques, like the one we propose in this article, so select the operational point for the network.

836 F. Increasing the Number of Slices

We now analyze more complex scenarios, involving the some coexistence of more than two slices. Since the complexity of the corresponding CTMC describing the Network subsystem scales exponentially, we use these examples to validate the alternative approach proposed in Section III-G, which is based on the use of smart, non-trivial bounds. We performed an extensive set of numerical experiments to compare the performance measures obtained with the bounding technique against those that can be derived by using (when feasible) the CTMC approach.

1) A First Three-Slice Scenario: We first consider a scenario with light MTC traffic and two highly loaded HTC slices.
The scenario could represent a cell with two tenants for public data access plus an IoT operator, with the realistic parameter set shown in Table III.

Note that, since it can be expected that the bounds are 853 extremely tight when the fraction of shared resources is 854 small, we leave unassigned 30% of capacity and two thirds 855 of Network positions. Like for previous experiments with 856 two slices, the BS capacity is set to 100 Mb/s, HTC service requests correspond to 1.2 Mb messages on average, and MTC traffic corresponds to small messages of 8000 bits. In 859 this case, the offered load fractions for the three slices are 0.6 and 0.3 for HTC slices, and 0.1 for the MTC slice (this corresponds to having 99% of devices on the HTC slices). The reserved capacities for the three slices are respectively 863 40, 20 and 10 Mb/s, so that 30 Mb/s are shared. Note that the share of traffic offered by MTC is less than the share of 865 capacity reserved to MTC at the Network, so MTC is not 866 expected to use much of shared capacity unless all slices are overloaded. Out of the 54 available RACH preambles, 30 plus 15 are allocated to HTC slices, and 5 to the MTC slice. Of 869 the 200 positions in the RRC_CONNECTED state, 40 and 20 870 respectively are allocated to the two HTC slices, and 5 to the 871 MTC slice, so that 135 positions are shared. The timeouts for 872 the access requests of the two HTC slices are equal to 5 s, 873 while the timeout for the MTC slice is 0.1 s.

The curves in Fig. 13 plot the throughput in terms of exact values and bounds, expressed in Mb/s of the three slices as a function of the total offered load and, in the secondary x-axis, the total number of devices. The two HTC slices saturate their available capacity, as shown by the flat part of the curves, while the MTC slice, because of its much smaller message size

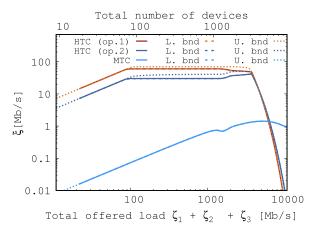


Fig. 13. Exact Network throughput values and bounds for the three-slice scenario of Table III vs. the total load (in Mb/s). The secondary x-axis reports the total number of devices resulting from the composition of the three slices.

and its dedicated resources, does not reach saturation. Since 880 we have a net predominance of HTC devices, the population 881 that can be efficiently sustained includes at most about one 882 hundred devices.

The bounds are indistinguishable from the exact solution in 884 the case of the MTC slice, as well as for the two HTC slices 885 before saturation. The bounds can be seen to be tight also 886 for the two HTC slices when in saturation, where the exact 887 solution almost overlaps with the lower bound. This is because 888 the two slices saturate at the same offered load value, so that 889 the condition in (31) is satisfied with high probability. The 890 upper bound is not far either, at least in this case in which the 891 MTC does not practically use shared resources, while the two 892 HTC slices contend for shared resources. Fig. 14 shows that 893 blocking probability bounds are very tight for the HTC slice 894 with higher throughput, while they are slacker for the other 895 HTC slice. Note that the lower bound for throughput is an 896 upper bound for the blocking probability and vice versa (for 897 consistency, the terms 'lower' and 'upper' used in the labels 898 of the figures refer to throughput bounds). The only region in 899 which bounds can be large is a small interval around the first 900 knee of the throughput curve, where the blocking probability 901 is however low.

2) A Second Three-Slice Scenario: We now consider a different three-slice scenario, one in which HTC and MTC traffic
are comparable, no slice receives enough dedicated resources
to serve all its traffic as it grows, and in which shared resources
are abundant (i.e., 95% of capacity and 50% of positions are
shared). Specifically, we consider one slice for HTC and two
908

Slice	Access request share	Population share	Capacity [Mb/s]	Preambles	Positions	Timeout [s]	Duty Cycle [s]
HTC (public)	0.5	$0.\overline{90}$	from 2.5 to 49.5	25	50	5	1
MTC (operator 1)	0.25	$0.0\overline{45}$	from 1.5 to 29.7	10	30	0.1	0.1
MTC (operator 2)	0.25	$0.0\overline{45}$	from 1 to 19.8	5	20	0.1	0.1
Shared	N/A	N/A	from 95 to 1	14	100	N/A	N/A

TABLE IV
CONFIGURATION FOR THE CASE OF THREE SLICES WITH HIGH MTC TRAFFIC AND ABUNDANT DEDICATED RESOURCES

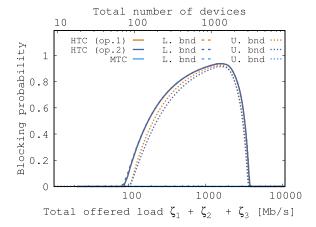


Fig. 14. Exact values and bounds for blocking probability in the three-slice scenario of Table III (MTC slices incur no blocking) vs. the total load (in Mb/s). The secondary x-axis shows the total number of devices resulting from the composition of the three slices.

909 for MTC, with the parameters described in Table IV. Here the 910 number of MTC devices is not negligible, as it accounts for 911 about 9% of the population. The scenario could represent the 912 case of an industrial site with a public data service on HTC and 913 two MTC slices for two separate factories. We assume half of 914 access requests are for HTC and the other half is equally split 915 across the two MTC slices, although one MTC slice receives 916 more resources than the other. Most of the capacity is left the shared pool, because we have assumed that the HTC 918 slice does not need stringent guarantees and the MTC devices 919 need little capacity. The scenario was deliberately chosen with 920 a large amount of shared capacity, so as to stress the differences between bounds in the computation of the throughput 922 of Network (see the impact of C_s on the bounds (30)–(31)). Notwithstanding the large amount of shared resources, 924 Fig. 15 shows that, for this case, the two bounds are very 925 close. Limited differences can be noted for the HTC slice, in 926 the region of saturation of Network. Similarly, the bounds 927 calculated on the blocking probability, shown in Fig. 16, are 928 quite tight for HTC and the MTC with less reserved resources, 929 while being looser for the other MTC slice. This behavior is 930 due to the aggressive access to shared resources by HTC only, 931 which makes shared resources highly utilized. In contrast, 932 MTC slices do not overload the Network subsystem even 933 when they have little dedicated resources. However, shared 934 positions are used by all slices. Note in fact that when the 935 HTC RACH throughput reaches its peak for the HTC slice 936 (not shown in the figure, it can be however intuitively iden-937 tified at around 1 Gb/s from the shape of the throughput

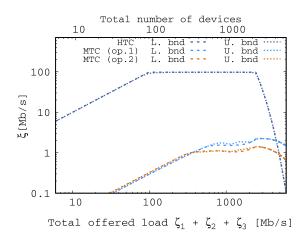


Fig. 15. Network throughput bounds for the three-slice scenario of Table IV with 2.5, 1.5, and 1 Mb/s of capacity assigned to the three slices (i.e., 95 Mb/s of shared capacity) versus the total load in Mb/s (lower x-axis) and total number of users (upper x-axis).

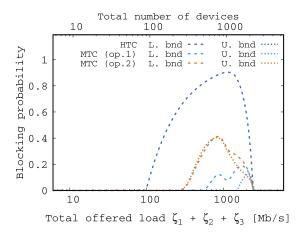


Fig. 16. Blocking probability bounds for the three-slice scenario of Table IV with 2.5, 1.5, and 1 Mb/s of capacity assigned to the three slices (i.e., 95 Mb/s of shared capacity) versus the total load in Mb/s (lower x-axis) and total number of users (upper x-axis); MTC incurs no blocking.

before and after the flat zone imposed by the Network), 938 the pressure of HTC diminishes, and MTC slices obtain more resources. Although they do not saturate the Network subsystem throughput, still this behavior indicates that MTC devices use more shared positions, which are the ones freed by HTC 942 after the RACH subsystem cuts off. The total population that 943 can be served in this mixed slice case with little dedicated 944 resources is quite low, of the order of 200 devices (about 180 945 for HTC and 20 for MTC) before the Network throughput 946 saturates for all slices. This means that a large pool of shared 947

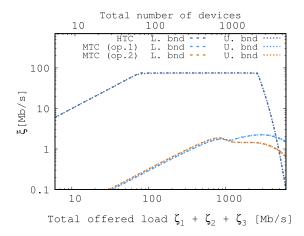


Fig. 17. Network throughput bounds for the three-slice scenario of Table IV with 25, 15, and 10 Mb/s of capacity assigned to the three slices (i.e., 50 Mb/s of shared capacity) versus the total load in Mb/s (lower x-axis) and total number of users (upper x-axis).

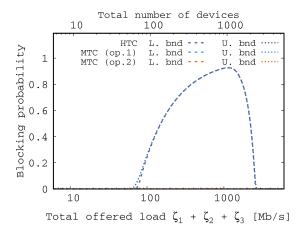


Fig. 18. Blocking probability bounds for the three-slice scenario of Table IV with 25, 15, and 10 Mb/s of capacity assigned to the three slices (i.e., 50 Mb/s of shared capacity) versus the total load in Mb/s (lower x-axis) and total number of users (upper x-axis); MTC incurs no blocking.

948 resources has negative impact on the size of the sustainable 949 population.

If we now increase the amount of bandwidth allocated to slices to 25, 15 and 10 Mb/s for the HTC, MTC (operator 1) and MTC (operator 2) slices, respectively, we obtain 953 the results shown in Figs. 17 and 18. We can see that, as 954 expected, by reducing the amount of shared resources the 955 bounds have become even tighter than before. In addition, we 956 can observe an improvement in the performance of the two 957 MTC slices, both in throughput and loss probability, which natural, since we have increased the amount of resources 959 for their exclusive use. In particular, no loss is now observed 960 for MTC traffic. This comes at the cost of a slight reduction 961 in the performance of HTC traffic, in terms of both satura-962 tion throughput and number of users. This reduction is better appreciated in Fig. 19, where we show the throughput of the 964 HTC slice with 95, 50 and 1 Mb/s of shared capacity (while 965 the rest of parameters remain unchanged, see Table IV). We 966 clearly see that a reduction of the shared capacity penalizes the 967 HTC slice, whose saturation throughput decreases from 97.5

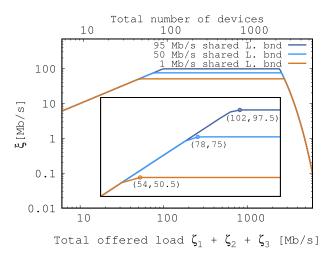


Fig. 19. Network throughput lower bounds (upper bounds are undistinguishable) for the HTC slice in the three-slice scenario of Table IV with different amounts of shared capacity (i.e., 95, 50, and 1 Mb/s) versus the total load in Mb/s (lower x-axis) and total number of users (upper x-axis). The inset shows the portion of the curves where the network throughput saturates.

to 75 and to 50.5 Mb/s. In the first case the decrease brings a benefit to the two MTC slices, at least in terms of loss probability. Instead, the second decrease does not impact the MTC slices performance. This implies that in the case of several competing slices, the choice of the amount of resources to allocate to individual slices, and consequently of the amount of resources shared among them, is a critical management issue. Too many statically allocated resources imply less flexibility, and penalize the high traffic slices. On the other hand, too many shared resources penalize the low traffic slices, because the high traffic slices tend to grab most of the shared bandwidth. A careful balance is necessary, which depends on the specific slice configuration, and requires simple but accurate models, like the one we presented in this article, to predict the performance outcome of the resource partitioning.

3) A Six-Slice Scenario: So far, we have observed a very good accuracy of our proposed bounds in many tested configurations. This allows us to conclude that the bounds offer a good approximation for the case of three slices. However, this does not exclude a different behavior for a higher number of slices. Thus, in order to show the ability of our approach to cope with more challenging cases, Fig. 20 shows the throughout for a case with six slices, with the configuration shown in Table V, and with the data volume of requests associated to HTC and MTC traffic specified for the previously described experiments.

This scenario could represent the case of a cell covering 994 a city hospital and its neighborhood. Here we selected two 995 HTC slices (e.g., one for public access and one reserved to 996 the personnel of the hospital) plus four MTC slices (e.g., one 997 for a factory, one for generic IoT, one for coordinating vehicles in the neighborhood, and one for hospital devices). The 999 slices are very heterogeneous and they are assigned realistic amounts of dedicated resources, in a very heterogeneous 1001 way. Shared resources are limited in terms of capacity, while 1002 they are more generous in terms of RACH preambles and ser-1003 vice positions in Network. Here, one HTC slice saturates 1004

Slice	Access request share	Population share	Capacity [Mb/s]	Preambles	Positions	Timeout [s]	Duty Cycle [s]
HTC (public)	0.27	0.3985	10	5	10	5	1
MTC (factory)	0.15	0.0221	20	10	20	0.1	0.1
MTC (IoT)	0.01	0.1476	0	0	0	10	10
MTC (vehicular)	0.15	0.0221	20	10	30	0.01	0.01
HTC (hospital)	0.27	0.3985	10	10	10	5	1
MTC (hospital)	0.15	0.0111	20	10	40	0.05	0.05
Shared	N/A	N/A	20	8	90	N/A	N/A

TABLE V
CONFIGURATION PARAMETERS FOR THE CASE OF SIX SLICES

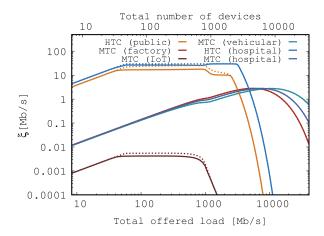


Fig. 20. Network throughput bounds for the six-slice scenario of Table V (Solid lines for lower bounds - dashed lines for upper bounds) vs. the total load (in Mb/s, lower x-axis) and total number of users (upper x-axis).

before the other because of the different number of reserved RACH preambles, being the rest of parameters for HTC slices the same. MTC slices are basically of two kinds. Three of the four MTC slices are access-intensive and have large portions of capacity, preambles and positions reserved for their exclusive use. They behave similarly, although experiencing a different cutoff, due to different numbers of dedicated positions. However, one more MTC slice has very little traffic and no dedicated resources. For this slice, we observe saturation effects at Network. Although here the MTC population covers about 20% of the total, most of the BS resources are dedicated, which allows to serve up to 500 devices before the MTC slice without dedicated resources collapses.

As in the cases with three slices, here we observe non1019 coincident bounds only in the regions in which slices saturate
1020 on Network. In all cases, bounds are close enough to
1021 provide decent approximations. Similarly, Fig. 21 shows close
1022 bounds for the blocking probability of HTC slices and for the
1023 MTC slice with no dedicated resources (other slices incur no
1024 blocking).

Note that bounds are very important because, although on the one hand they introduce some approximation, on the other hand they allow the analysis to scale to otherwise unfeasible cases. For instance, with the above discussed six-slice configuration, it took less than an hour to compute the 590 points per bound per slice (about 7k points in total) that compose

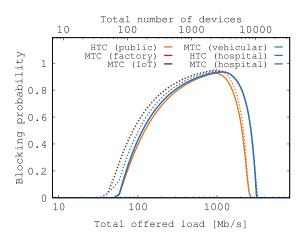


Fig. 21. Blocking probability bounds for the six-slice scenario of Table V (Solid lines for upper bounds - dashed lines for lower bounds) vs. the total load (in Mb/s, lower x-axis) and total number of users (upper x-axis).

Fig. 20, while it took more than a week to solve the CTMC 1031 for the much simpler case with three slices, and it was not 1032 conceivable to use the CTMC for the case of six slices with 1033 a machine equipped with an Intel Xeon CPU E5-1620 v3 @ 1034 3.50GHz.

Besides good accuracy of the bounds, the figures for sce- 1036 narios with multiple slices show that the interaction between 1037 slices becomes evident only when one or more slices, but not 1038 all of them, experience a bottleneck in Network *and* the 1039 RACH drops requests aggressively. This has negative impact on 1040 the affected slices but also frees resources for other slices (see, 1041 e.g., the changes of slope for the throughput with more than 1042 Gb/s in Fig. 15 and for more than 1 Gb/s in Fig. 20). Most 1043 importantly, some slices can incur RACH or Network bottle- 1044 necks well before other slices, so that it is not easy to correctly 1045 dimension the resources to be allocated to each slice. However, 1046 our model, with the bounding technique we propose, offers a 1047 powerful tool to identify and test suitable configurations with 1048 limited computational complexity.

V. RELATED WORK

An overview of network slicing concepts, architectures and 1051 algorithms was recently provided by two special issues of 1052 the IEEE Communications Magazine [12], [13]. Moreover, 1053 network slicing in the RAN and heterogeneous traffic types 1054 are being investigated under different perspectives, although 1055

1056 not yet from the point of view of their compound requirements 1057 and interactions.

Resource allocation is one of the key challenges to tackle, 1059 and, accordingly, a number of proposals are sprouting these 1060 days. For instance, the authors of [14] propose an orchestration system that leverages deep learning techniques, so as to follow traffic fluctuations and allocate resources to slices 1063 accordingly. A study of the dynamic allocation of base station resources to network slices is considered in [15]. The 1065 selected resource sharing model is a Fisher Market in eco-1066 nomics terms. It is shown to provide each slice with the 1067 same or better utility than a static resource allocation and to 1068 admit a Nash equilibrium. The performance of the proposed 1069 approach is again investigated by simulation. More practical 1070 studies like [16] show via simulation that earliest deadline 1071 first (EDF) scheduling represents a practical and effective 1072 solution for performance isolation with dynamic resource 1073 allocation in RAN slicing scenarios. The optimal allocation 1074 of resources to slices is addressed in [17], where a dis-1075 tributed algorithm is proposed and analyzed by simulation, 1076 considering a dense small cell deployment, and showing that 1077 substantial capacity savings can be achieved while provid-1078 ing a given QoS to end users. Furthermore, the authors 1079 of [18] show that physical transmission resources can be 1080 sliced using millimeter wave techniques, while the authors 1081 of [19] demonstrate that physical resources assigned to slices 1082 need to be coordinated across multiple cells, otherwise slices 1083 cannot fully exploit the properties of physical level proto-1084 cols. Other studies show the importance of per-slice resource 1085 allocation to satisfy non-trivial performance indicators [20]. 1086 There are also active initiatives devoted to develop concepts and implementations of network slicing, e.g., the European 1088 Commission-founded projects 5G-CROSSHAUL [21], 5G-1089 TRANSFORMER [22], and 5G-NORMA [23]. However, the 1090 existing approaches somehow neglect the role of network access procedures, which, as we have shown in this article, can 1092 introduce unexpected behavioral trends in the access network.

Besides resource allocation, there are several works point-1094 ing at performance issues of network slicing, and proposing 1095 optimization schemes, e.g., in [24], a dynamic RAN cell slic-1096 ing controller was proposed and evaluated by simulation in 1097 a urban setting comprising 19 microcells, showing that the 1098 proposed controller performs better than a distributed static 1099 slicing solution and a centralized load balancing solution. The 1100 authors of [25] further present radio slicing implementation 1101 with 5G NR and discuss potential slice configurations, while 1102 the use of machine learning to manage the resources of 5G 1103 radio slices is discussed in [26]. An optimization problem 1104 for radio resource sharing among slices in a cell is stud-1105 ied in [27], that also proposes an efficient algorithm for 1106 optimization. Simulation results show good isolation and an increase in the multiplexing gain by sharing unused resources. 1108 The joint optimization of admission control, user association, 1109 baseband and radio resource allocation is proposed in [28]. 1110 Simulation results show that the proposed scheme achieves better performance than baseline schemes. The authors of [29] 1112 propose to use a Cross Layer Controller to orchestrate SDN and SDR technologies, so to unify the control of radio and transport protocols; they use simulations to show that signif- 1114 icant gain stems from the coordination of slicing in different 1115 network segments. The analysis of the market composed by 1116 one infrastructure provider and several tenants that rent a 1117 network slice to provide service to their customers is tack- 1118 led in [30]. A slice admission control algorithm is designed 1119 to maximize the revenues of the infrastructure provider while 1120 providing the expected performance to the slice users. The 1121 performance of the proposed algorithm is evaluated by simu- 1122 lation. The sharing of resources among slices is investigated 1123 in [31]. Each slice is assigned a fixed portion of available 1124 resources, which are then equally distributed to slice users. 1125 Newly arriving users are accepted by slices with autonomous 1126 decisions based on a game that admits a Nash equilibrium. 1127 The effectiveness of the proposed solution is studied by sim- 1128 ulation. The introduction of a limit on the number of resource 1129 blocks allocated to each slice in a base station (BS) to guar- 1130 antee resource isolation is proposed in [32]. The authors show 1131 that this approach combined with slight modifications of the 1132 ordinary packet scheduling algorithm can provide the desired 1133 isolation. In some cases an improvement in throughput with 1134 respect to a static bandwidth partitioning is observed in sim- 1135 ulation results. This body of work is important, although 1136 we claim that the techniques proposed in there should be 1137 revisited to take in consideration the presence of poten- 1138 tial RACH bottlenecks. Our model could be used to enable 1139 such study.

More specifically, our work is different from the previous 1141 literature because we consider for the first time network slicing 1142 together with the details of the algorithms that rule the opera- 1143 tions on the radio interface of a base station. In addition, our 1144 analysis is based on a detailed analytical model of the base sta- 1145 tion operations, which allow for the derivation of exact through 1146 computationally complex expressions for the key performance 1147 indicators, given a slice-set configuration. They also allow to 1148 derive non-trivial tight bounds for blocking probability and 1149 throughput, which scale efficiently in the analysis of several 1150 slices. Note that, in our case, simulation just serves the purpose 1151 of validating the accuracy of the analytical model. Note also 1152 that our results are not meant to contrast the findings of other 1153 works, neither they are proposing novel resource management 1154 methods. Instead, our model and bounds shed light on the intri- 1155 cacies of RAN sharing mechanisms in an interpretable manner, 1156 and are instrumental in enhancing and speeding up resource 1157 management optimization tools like the ones mentioned in this 1158 section. 1159

VI. CONCLUSION

In this article we described a detailed stochastic model of the 1161 behavior of radio access in a sliced RAN cell, including most 1162 features of the standard access procedures. Our model allows 1163 the investigation of the effect of the allocation of resources 1164 to slices on the radio interface of one cell, hence the correct 1165 setting of the slice parameters.

Looking at the case of one typical cell comprising one 1167 HTC and one MTC slice, we observed the mutual effects of 1168 slice traffic increases on performance, exposing unexpected 1169 behaviors for the traffic values at which the RACH is close to saturation. With more slices, the interaction becomes more cumbersome to predict and more complex to evaluate in detail. However, efficient bounds allow to scale the analysis up to several heterogeneous slices with limited computational power. We have studied a cell with standard 5G configurations for what concerns the RACH and the number of service positions at the base station. With these parameters, we have shown that current technologies allow to sustain a few hundreds of HTC devices and a several thousands of MTC devices, which calls for protocol enhancements in order to scale 5G networks to more massive use cases.

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